

1 **Humoral and cellular immune responses to standard and third**  
2 **dose SARS-CoV-2 vaccination in rituximab treated rheumatoid**  
3 **arthritis patients – a prospective cohort study**

4 **Authors:**

5 Ingrid Jyssum, MD<sup>1,2\*</sup>, Hassen Kared, PhD<sup>3,4\*</sup>, Trung T. Tran, PhD<sup>3</sup>, Anne T Tveter, PhD<sup>1</sup>,  
6 Prof Sella A Provan, MD<sup>1</sup>, Joseph Sexton, PhD<sup>1</sup>, Kristin K Jørgensen, MD<sup>5</sup>, Prof Jørgen  
7 Jahnsen, MD<sup>2,5</sup>, Grete B Kro, MD<sup>6</sup>, David J. Warren, PhD<sup>7</sup>, Eline B. Vaage<sup>3</sup>, Prof Tore K.  
8 Kvien, MD<sup>1,2</sup>, Lise-Sofie H Nissen-Meyer, MD<sup>3</sup>, Ane Marie Anderson, MD<sup>2,3</sup>, Gunnveig  
9 Grødeland, PhD<sup>2,3</sup>, Prof Espen A. Haavardsholm, MD<sup>1,2</sup>, Prof John Torgils Vaage, MD<sup>2,3</sup>, Siri  
10 Mjaaland, PhD<sup>8</sup>, Silje W Syversen, MD<sup>1\*\*</sup>, Fridtjof Lund-Johansen, MD<sup>3,9\*\*</sup>, Prof Ludvig A  
11 Munthe, MD<sup>3,4\*\*</sup>, Guro Løvik Goll, MD<sup>1\*\*</sup>

12 \*These authors contributed equally

13 \*\*These authors contributed equally

14

15

16 **Author affiliations:**

17 <sup>1</sup>Division of Rheumatology and Research, Diakonhjemmet Hospital, Oslo, Norway

18 <sup>2</sup>Institute of Clinical Medicine, University of Oslo, Oslo, Norway

19 <sup>3</sup>Department of Immunology, Oslo University Hospital, Oslo, Norway

20 <sup>4</sup>KG Jebsen Centre for B cell Malignancies, Institute of Clinical Medicine, University of Oslo,  
21 Norway

22 <sup>5</sup>Department of Gastroenterology Akershus University Hospital, Lørenskog, Norway,

23 <sup>6</sup>Department of Microbiology, Oslo University Hospital, Oslo, Norway

24 <sup>7</sup>Department of Medical Biochemistry, Oslo University Hospital, Oslo, Norway

25 <sup>8</sup>Norwegian Institute of Public Health, Oslo, Norway

26 <sup>9</sup>ImmunoLingo Convergence Center, University of Oslo, Oslo, Norway

27

28 **Corresponding author:**

29 Ingrid Jyssum, M.D.

30 Division of Rheumatology and Research, Diakonhjemmet Hospital

31 P.O Box 23 Vinderen

32 N-0319 Oslo, Norway

33 E-mail: [Ingrid.jyssum@gmail.com](mailto:Ingrid.jyssum@gmail.com)

34 Phone: +47 97549120

35

36

37 Word count: 3734

38 Word count summary: 299

39

40

41

42

43

## 44 **SUMMARY**

### 45 **Background**

46 Humoral- and cellular immune responses following standard two dose SARS-CoV-2  
47 vaccination in rheumatoid arthritis (RA) patients treated with rituximab are not well  
48 characterised, and data on third dose vaccination in this patient group are currently lacking.

### 49 **Methods**

50 This prospective observational study included RA patients (n=87) on rituximab therapy and  
51 healthy controls (n=1114) receiving standard two dose SARS-CoV-2 vaccination. Patients  
52 with a weak serological response were allotted a third vaccine dose (n=49). Serum samples  
53 collected prior to, and after vaccination were analysed for antibodies to the receptor-binding  
54 domain (RBD) of the SARS-CoV-2 Spike protein. Vaccine-elicited T cell responses were  
55 assessed in vitro by challenging cryo-preserved Peripheral Blood Mononuclear Cells  
56 (PBMCs) with the Spike protein in a subset of patients (n=19).

### 57 **Findings**

58 19 (22%) patients compared to 1096 (98%) healthy controls ( $p < 0.0001$ ) had a serological  
59 response after standard SARS-CoV-2 two dose vaccination. The main determinants for a  
60 humoral response in patients was time since last rituximab infusion (median 267 days [IQR  
61 222–324]) and vaccine type mRNA-1273 as compared to BNT162b2. Following standard  
62 vaccination 10/19 (53%) and 14/19 (73%) of patients presented CD4<sup>+</sup> and CD8<sup>+</sup> T cell  
63 responses, respectively. A third vaccine dose induced serological response in only 8/49 (16%)  
64 patients, but CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses in all patients assessed (n=12), including  
65 responses to the SARS-CoV-2 delta variant (B.1.617.2). Adverse events were reported in 48%  
66 patients and 78% healthy controls after standard vaccination with no increased frequency after  
67 the third dose.

68 **Interpretation**

69 This study provides important insight into the diverging humoral and cellular responses to  
70 standard- and third dose SARS-CoV-2 vaccination in rituximab treated RA patients. A third  
71 vaccine dose given within 6–9 months after a rituximab infusion will likely not induce a  
72 serological response, but could be considered in order to boost the cellular immune response.

73 **Funding**

74 The Coalition for Epidemic Preparedness Innovations (CEPI); RCN Covid (312693); KG  
75 Jebsen Foundation (grant 19); Oslo University Hospital; University of Oslo; the South-  
76 Eastern Norway Regional Health Authority; Dr. Trygve Gythfeldt og frues forskningsfond;  
77 Karin Fossum Foundation; the Research Foundation at Diakonhjemmet Hospital.

78

79

80

81 **INTRODUCTION**

82 SARS-CoV-2 vaccines have proven efficient and safe in the general population,<sup>1,2</sup> but a good  
83 vaccine response depends on a functional immune system that includes concerted B cell and T  
84 cell responses. Immunosuppressive medications, and particularly rituximab, a CD20<sup>+</sup> cell  
85 depleting therapy, are known to impair immunogenicity of influenza and pneumococcal  
86 vaccines.<sup>3</sup> Rheumatoid arthritis (RA) patients on rituximab therapy are at a heightened risk of  
87 severe COVID-19 outcomes,<sup>4-7</sup> and it is of vital importance to evaluate their response to  
88 SARS-CoV-2 vaccination. Observational data in small RA cohorts have indicated that  
89 rituximab impairs serological SARS-CoV-2 vaccine responses.<sup>8-11</sup> Previous reports have  
90 suggested that T cells are necessary for protection against severe COVID-19 in settings of low  
91 antibody levels,<sup>12</sup> for rapid and efficient resolution of COVID-19,<sup>13</sup> and for protection against  
92 fatal outcomes in patients treated with anti-CD20 for haematological malignancies.<sup>14</sup> To date,  
93 limited data exist regarding cellular responses to SARS-CoV-2 vaccines in rituximab treated  
94 RA patients.<sup>11,15</sup> In the absence of a normal serological response, cellular immunity is of  
95 crucial interest in this patient group.

96 The utility of a third vaccine dose in immunocompromised patients, as well as in the general  
97 population, is an urgent question in the global medical community and for policy makers.<sup>16,17</sup>  
98 It is unclear if B cell depleted patients who lack serological response after standard two dose  
99 vaccination will benefit from a third vaccine dose. While a recent case series on rituximab  
100 treated patients indicated limited benefit from a third dose,<sup>18</sup> no data exist on cellular response  
101 or safety following a third vaccine dose in these patients.

102 The aim of the present study was to assess the humoral- and cellular responses and adverse  
103 events following standard and third-dose SARS-CoV-2 vaccination in RA patients treated  
104 with rituximab.

## 105 **METHODS**

### 106 **Participants and study design**

107 Nor-vaC (Norwegian study of vaccine response to COVID-19 vaccines in patients using  
108 immunosuppressive medication within rheumatology and gastroenterology) is an ongoing  
109 longitudinal observational study conducted at two Norwegian hospitals with large specialist  
110 clinics; the Division of Rheumatology and Research at Diakonhjemmet Hospital (DH) and the  
111 Department of Gastroenterology at Akershus University Hospital (AHUS). Eligibility criteria  
112 are presented in the appendix (p2). Eligible patients identified by hospital records received an  
113 invitation to participate in the study prior to initiation of the national vaccination programme  
114 in February 2021. In the present analyses we included RA patients on rituximab therapy.  
115 Healthy controls were blood donors and health care workers from collaborating hospitals in  
116 Oslo. The study was approved by an independent ethics committee (Regional Committees for  
117 Medical and Health Research Ethics South East, reference numbers 235424, 135924, 204104)  
118 and by appropriate institutional review boards. The study is registered at clinicaltrials.gov  
119 NCT04798625. All patients and healthy controls provided written informed consent.

120 During the conduct of this trial, patients included in the Nor-vaC study with anti-RBD levels  
121 <100AU/ml after standard two dose vaccination were recruited into a separate intervention  
122 study (EudraCT Number: 2021-003618-37) and allotted a third vaccine dose in July–August  
123 2021. The present observational study reports humoral and cellular immune responses  
124 following the third dose in 49 RA patients treated with rituximab.

### 125 **Study procedures**

126 All participants received SARS-CoV-2 vaccines according to the Norwegian national  
127 vaccination programme. Three SARS-CoV-2 vaccines were available: BNT162b2, mRNA-  
128 1273 and ChAdOx1. The two mRNA vaccines were given with an interval of 3–6 weeks

129 between the 2 doses. The ChAdOx1 vaccine was withdrawn from the Norwegian vaccination  
130 programme in March 2021 and all persons who had received one dose of this vaccine received  
131 one of the mRNA vaccines as the second dose. The vaccines were administered to the  
132 participants following a priority list given by the Norwegian Institute of Public Health  
133 (NIPH). According to the programme, persons recovered from COVID-19 infection received  
134 one vaccine dose only.

135 Patients receiving a third dose were asked to pause their concomitant DMARD treatment one  
136 week before and two weeks after vaccination.

### 137 **Data collection**

138 Informed consents and questionnaires were collected through “Services for Sensitive Data”  
139 (TSD) at the University of Oslo (UiO). Participating patients were asked to complete  
140 questionnaires before vaccination and approximately 14 days after the first, second and third  
141 vaccine dose. Demographic data including diagnosis, age, sex, weight, height and smoking  
142 status were collected at baseline. Information regarding medication use, patient reported  
143 disease activity and COVID-19 related questions (symptoms, test results and hospitalisation),  
144 pausing of medication at the time of vaccination, as well as adverse events after vaccinations,  
145 were collected at baseline and 14 days after the first, second and third vaccine dose. Date of  
146 the last rituximab infusion, total number of rituximab infusions, disease- and rituximab  
147 duration, co-medication and number of previous DMARDs were obtained from the medical  
148 records. Disease activity (disease activity score (DAS 28), patient global assessment (PGA),  
149 and physician global assessment (PhGA)) were assessed 2–4 weeks after the second vaccine  
150 dose. For most healthy controls, only information on vaccine date and type, participant sex  
151 and age were collected. 246 controls (health care workers at DH and AHUS) additionally  
152 answered detailed questionnaires on demographic data and adverse events.

153 Information about vaccination dates and type of vaccines were obtained from the Norwegian  
154 Immunisation Registry, SYSVAK.<sup>19</sup> Information regarding patients testing positive for  
155 COVID-19 disease prior to and during the study period was obtained from the Norwegian  
156 Surveillance System for Communicable Diseases (MSIS).<sup>20</sup>

### 157 **Serological analyses**

158 Antibodies to the full-length Spike protein from SARS-CoV-2 and the receptor-binding  
159 domain (RBD) were measured 2–4 weeks after standard two dose vaccination and after third-  
160 dose vaccination using an in-house bead-based method (see appendix pp3–4 for a detailed  
161 description).<sup>21</sup> We defined antibody levels above the two-percentile of standard vaccinated  
162 healthy individuals, corresponding to levels  $\geq 70$  AU/ml as response.<sup>22</sup> Levels  $< 5$  AU/ml  
163 were defined as no response, while levels between 5–70 AU/ml were defined as weak  
164 response. Calibration to the WHO international standard showed that 70 AU/ml corresponds  
165 to approximately 40 Binding Antibody Units per millilitre (BAU/ml).

### 166 **Analyses of T cell responses**

167 Prior to the first vaccine dose, a subset of randomly chosen patients (n=20) were asked to  
168 provide blood samples for cellular analysis before and 7–10 days after the second vaccine  
169 dose. The number was based on the feasibility of performing complex cellular analyses and  
170 the previous experience of the researchers performing them. 12 of the patients were recipients  
171 of a third dose and additionally donated blood for cellular analyses 3 weeks after the third  
172 dose. Thawed Peripheral Blood Mononuclear Cells (PBMCs) were stimulated with SARS-  
173 CoV-2 PepTivator Spike protein peptides (Miltenyi Biotec), Wuhan - wild type, or the delta  
174 variant (B.1.617.2), consisting of 15-mer sequences with 11 amino acids, overlap covering the  
175 immunodominant parts of the spike protein, in the presence of costimulatory antibodies  
176 against CD28 and CD49d (BD Biosciences) and Brefeldin-A (10  $\mu$ g/mL, MilliporeSigma).



177 SARS-CoV-2-specific T cells were identified by dual expression of Tumour Necrosis Factor  
178 (TNF) and CD40L (CD154) for CD4<sup>+</sup> T cells and by single and/or dual intra-cellular  
179 expression of Interferon Gamma (IFN $\gamma$ ) and TNF for CD8<sup>+</sup> T cells. All samples were  
180 acquired on an Attune NxT (Thermofischer) flow cytometer and analysed using FlowJo  
181 version 10 software. See appendix (pp5–6) for a detailed description of the methodology.

## 182 **Objectives and endpoints**

183 The two main objectives of this study were: a) to assess humoral and T cell responses to  
184 standard and third dose SARS-CoV-2 vaccination in RA patients on rituximab therapy as  
185 compared to healthy controls, and b) to assess changes in humoral and T cell responses after a  
186 third vaccine dose given to patients on rituximab therapy with weak serological responses to  
187 standard vaccination. Other objectives were to assess safety of standard and third dose  
188 vaccination and to identify predictors of serological response in patients. The main endpoints  
189 were; a) the proportion of participants with serological response (anti-RBD levels  
190 >70AU/mL) and T cell responses to Spike peptides following standard and third dose SARS-  
191 CoV-2 vaccination; and b) the change in levels of anti-RBD and T cell responses to Spike  
192 peptides after third dose vaccination. Other endpoints included adverse events and predictors  
193 of serological response to standard and third dose vaccination.

## 194 **Statistical analyses**

195 Demographic data, adverse events and serological response were summarised using  
196 descriptive statistics. Comparisons of serological response between patients and controls were  
197 performed by logistic regression. Adjustments were made for sex, age and vaccination type.  
198 Comparison between pre- and post-vaccination samples in patients receiving a third dose was  
199 performed by Wilcoxon paired sampled test. GraphPad Prism Paired analysis and the  
200 Wilcoxon matched-pairs signed rank test was used to compare the frequencies of antigen-

201 specific T cells. Comparisons of potential risk factors between response groups (table 3) were  
202 performed by Kruskal-Wallis/Fishers exact test for continuous/categorical outcomes. To  
203 assess predictors of serological response to vaccine in patients, uni- and multivariable logistic  
204 regression analyses were performed. Relevant variables were chosen by the investigators after  
205 a review of the existing literature. For multivariable model building, all factors with a p-value  
206 of less than 0.15 from univariable analyses as well as age and sex were included. The final  
207 model was obtained with significant variables only by backward elimination of the least  
208 significant variable. Spearman correlation test was used to compare T cell responses versus  
209 age and time since last rituximab infusion in patients. All tests were two-sided and conducted  
210 at the 0.05 significance level. Analyses were carried out using Stata v16, GraphPad Prism  
211 version 9 and R 3.4.4.

## 212 **Role of the funding source**

213 Nor-vaC was an investigator-initiated study with no initial funding. Subsequent funders of the  
214 study had no role in the study design, data collection, data analysis, data interpretation,  
215 writing of the report, or in the decision to submit the paper for publication.

216

## 217 **RESULTS**

218 A total of 90 RA patients treated with rituximab were enrolled between February 9, 2021, and  
219 May 27, 2021. 87 patients (median age 60 years [IQR 55–67]; 69 women [79%]) donated  
220 serum obtained at a median of 16 [IQR 12–21] days after the second vaccine dose, and were  
221 included in the present analyses. In addition, control samples from 1114 healthy health care  
222 providers and blood donors (median age 43 [IQR 32–55]; 854 women [77%]) were included.  
223 Baseline characteristics are shown in table 1. 56 (64%) of all patients used a conventional

224 systemic disease modifying drug (csDMARD) concomitantly, including; methotrexate  
225 (n=42), leflunomide (n=9), sulfasalazine (n=4), and hydroxychloroquine (n=1). 14 (17%) of  
226 all patients used prednisolone as co-medication, all with a dosage <10 mg/day. Patients were  
227 vaccinated with BNT162b2 (n=63, 72%), or mRNA1273 (n=21, 24%). Three patients had  
228 undergone COVID-19 prior to vaccination and received only one vaccine dose. No patients  
229 developed COVID-19 disease after standard two dose or third dose vaccination.

230 19 (22%) patients as compared to 1096 (98%) of healthy controls had anti RBD-levels  $\geq 70$   
231 AU/ml defined as response after standard two dose vaccination ( $p < 0.0001$ ). 14 (16%) patients  
232 and 14 (1%) controls had a weak response, and 54 (62%) patients and 4 (0.4%) of healthy  
233 controls had no detectable antibodies (table 2, figure 1A). The median time between last  
234 rituximab infusion and first vaccine dose was 267 days (IQR 222–324) for patients with  
235 response, whereas the median intervals for patients with weak- and no response were 137  
236 days (61–233) and 107 days (80–152), respectively (table 3, figure 1B). Univariable logistic  
237 regression identified the following variables as significantly associated to humoral response  
238 (appendix p8): The interval between last rituximab infusion and first vaccine dose by 100  
239 days (OR 2.37 [95% CI 1.45–3.89],  $p = 0.0005$ ), CD19 cell count (OR 1.02 [95% CI 1.00–  
240 1.03],  $p = 0.026$ ), and vaccine type mRNA-1273 as compared to BNT162b2 (OR 3.81 [1.26–  
241 11.52],  $p = 0.016$ ). In the multivariable logistic regression model (appendix p8), the interval  
242 between last rituximab infusion and first vaccine dose by 100 days (OR 2.97 [95% CI 1.67–  
243 5.29],  $p = 0.0002$ ), and vaccine type mRNA-1273 compared to BNT162b2 (OR 9.12 [2.15–  
244 38.62],  $p = 0.0022$ ) were significant predictors of mounting a serological response when  
245 adjusted for age and sex (appendix p8).

246 49 patients (median age 62 years [IQR 56–67], 43 females [88 %]) with no or weak  
247 serological responses to standard two dose vaccination were allotted a third vaccine dose a  
248 median of 70 days [IQR 49–104] after the second vaccine dose. In these patients, median

249 antibody levels were 2 AU/ml [IQR 2–3] and 3 AU/ml [2–18] after the second and third  
250 vaccine dose, respectively (figure 1C). Comparison between antibody levels in pre- and post-  
251 vaccination samples showed a median change of 0.96 AU/ml (IQR 0.05–27), ( $p < 0.0001$ ).  
252 Eight (16%) patients, with a median interval between last rituximab and third dose of 250  
253 days [IQR 206–265], achieved anti-RBD  $\geq 70$  AU/ml defined as response after the third dose  
254 (table 2, figure 1C and 1D, appendix p7). Two patients had received only one vaccine dose  
255 due to prior COVID-19 infection and hence received their second dose, none of these  
256 developed a response. No significant predictors of serologic response after the third dose were  
257 found, possibly due to the low numbers of patients with response ( $n=8$ ).

258 T cell responses were analysed in 19/20 patients after the second vaccine dose. 12/19 patients  
259 were further assessed after a third dose. After standard vaccination, 10/19 (53%) and 14/19  
260 (73%) of patients presented CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses, respectively (figure 2A).  
261 Patients without anti-Spike CD8<sup>+</sup> T cell response ( $n=5$ , 27%), also lacked detectable anti-  
262 Spike CD4<sup>+</sup> T cells. Time since last rituximab infusion was not associated with T cell  
263 response (data not shown). The reduced T cell responsiveness to the vaccine could not  
264 directly be explained by the regimen of immune-suppressive drugs (rituximab mono-therapy  
265 or combined with csDMARD) because the activation induced by a polyclonal stimulation of  
266 the TCR (with Cytostim) was similar between all donors, indicating normal functional  
267 responses (data not shown). T cell responses were detected in all vaccinated healthy donors  
268 ( $n=20$ ) after their second dose of the vaccine, response magnitudes were similar to that seen in  
269 the patients (figure 2A).

270 After the third dose, all 12 patients had detectable anti-Spike CD4<sup>+</sup> and CD8<sup>+</sup> T cell  
271 responses, this included 5 of the patients who lacked T cell responses after the second dose  
272 (figure 2A).

273 In order to evaluate the potential of vaccines to induce a cross-protection against currently  
274 circulating viral strains, we extended the T cell analysis challenging PBMCs from vaccinated  
275 patients with Spike peptides derived from SARS-CoV-2 delta variant (B.1.617.2). The  
276 magnitude of T cell responses to B.1.617.2 Spike correlated with the levels of response  
277 towards wild type Spike for both CD4<sup>+</sup> and CD8<sup>+</sup> responses after second and third dose  
278 (figure 2B, Spearman analysis: CD4<sup>+</sup> dose 2:  $r=0.84$ ,  $p<0.0001$ ; CD4<sup>+</sup> dose 3,  $r=0.63$ ,  
279  $p<0.029$ ; CD8<sup>+</sup> dose 2:  $r=0.73$ ,  $p<0.0001$ ; CD8<sup>+</sup> dose 3,  $r=0.71$ ,  $p<0.012$ ). Next, we compared  
280 helper and cytotoxic cellular response in RA patients. Combined anti-Spike T cell responses  
281 directed against wild type and delta SARS-CoV-2 Spike variant peptides are shown in figure  
282 2C. The positive correlation between CD4<sup>+</sup> T cell responses and CD8<sup>+</sup> T cell responses,  
283 suggested that the vaccine elicited concerted T cell immunity (Spearman,  $r=0.64$ ,  $p<0.0001$ ).  
284 The age of the patients negatively correlated with the frequency of anti-Spike CD4<sup>+</sup> T cells  
285 (figure 2C, Spearman  $r=-0.33$ ,  $p=0.0080$ ).

286 After standard vaccination, adverse events (AE) were reported in 32 (48%) of patients and  
287 191 (78%) of healthy controls, and in 19 (42%) of patients receiving a third dose (figure 3 and  
288 appendix p9). In patients who received a third vaccine dose, the number of adverse events was  
289 similar after second and third doses, with the exception of haematological AEs, where  
290 bleeding/bruises was more frequently reported after the third dose (4 patients (2%) to 7  
291 patients (16%)). Among patients who received a third dose, 5 (14%), 3 (8%) and 7 (16%)  
292 patients reported disease flare after the first, second and third dose, respectively (appendix  
293 p9). There were no deaths among patients during the study period.

294

## 295 **DISCUSSION**

296 This large observational study is the first to report immunogenicity and safety following both  
297 standard two dose and third dose SARS-CoV-2 vaccination in rituximab treated RA patients.  
298 After standard vaccination only 22% of patients compared to 98% of healthy controls  
299 developed a humoral response. We found that despite these severely attenuated humoral  
300 responses and the absence of CD19<sup>+</sup> B cells, T cell responses were present in 73% of  
301 rituximab-treated patients after standard two dose vaccination and in all patients after the third  
302 dose. Patient responses to wild type Spike correlated with that seen towards the SARS-CoV-2  
303 delta variant (B.1.617.2) S peptides, demonstrating that the vaccine had also elicited  
304 immunity to this variant. Both the standard two dose regimen and a third dose were safe in  
305 terms of patient-reported adverse events. To date, this is the largest study to combine sensitive  
306 measurements of humoral and cellular immunity as well as a description of adverse events  
307 after standard SARS-CoV-2 vaccination in RA patients treated with rituximab.

308 Previous studies have demonstrated a good correlation between the levels of neutralizing  
309 antibodies and protection from symptomatic COVID-19 disease.<sup>23,24</sup> However, serological  
310 responses decay with time after vaccination.<sup>25</sup> In contrast, SARS CoV-1 T cell memory is  
311 long-lasting and was found after 17 years.<sup>26</sup> A recent study in rhesus macaques demonstrated  
312 that T cell immune responses contributed to protection when antibody responses were low,<sup>12</sup>  
313 bridging insufficient humoral immunity. CD4<sup>+</sup> and CD8<sup>+</sup> T cells counteract viral infections by  
314 producing effector cytokines, such as IFN $\gamma$  and TNF, and by exerting cytotoxic activity  
315 against virus-infected cells. Early and robust SARS-CoV-2-specific T cell responses were  
316 associated with lower severity of COVID-19.<sup>13</sup> Robust CD8<sup>+</sup> T cell responses were also  
317 associated with improved survival of COVID-19 in patients with hematologic malignancies,  
318 including patients on anti-CD20 therapies,<sup>14</sup> underlining the importance of T cell immunity in  
319 patients with impaired B cells.

320 We found that 53 % and 73% had CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses after standard two dose  
321 vaccination. This is in line with a recent study of rituximab treated patients with various  
322 rheumatic diseases (IgG4 related disease, connective tissue diseases, vasculitis and  
323 rheumatoid arthritis) where 73% of patients had detectable IFN $\gamma$  secreting SARS-CoV-2-  
324 specific T cells and half of patients lacked a serological response.<sup>9</sup> That study, however, did  
325 not discriminate between CD4<sup>+</sup> and CD8<sup>+</sup> T cells. In the current study we found a greater  
326 deficit in CD4<sup>+</sup> T helper cell responses that are required for optimal B cell responses after the  
327 second dose of the vaccine.

328 In patients with insufficient serological responses to the standard two dose vaccine regimen,  
329 we found that only a few patients mounted a serological response after a third dose. In  
330 contrast, the third dose induced both anti-Spike CD4<sup>+</sup> and CD8<sup>+</sup> T cells in all patients tested,  
331 regardless of humoral responses. The coordinated development of helper and cytotoxic T cell  
332 response might constitute protective immunity against future infections by SARS-CoV-2 and  
333 its variants. Our results suggest that the third dose enables robust T cell immunity in RA  
334 patients treated with rituximab, potentially improving protection in this patient group.

335 The present data show that time since last rituximab infusion was the most important factor  
336 predicting serological responses to standard SARS-CoV-2 vaccination, patients with response  
337 having a median interval of almost 9 months between last rituximab infusion and the first  
338 vaccine dose. This confirms findings in a recent study by Furer et.al. and observational data  
339 from smaller cohorts, demonstrating that the seroconversion rate in patients treated with  
340 rituximab increased from 20 to 50% when the interval between rituximab and SARS-CoV-2  
341 vaccination increased from 6 to 12 months.<sup>10,11</sup> CD19<sup>+</sup> cell count was also associated with  
342 serological response to standard vaccination. This indicates that CD19<sup>+</sup> cell counts may be  
343 used as a surrogate for B cell function when timing vaccinations.

344 Vaccination with mRNA-1273 as compared to BNT162b2 was a significant predictor of  
345 response to standard vaccination (OR 9·12). This is in line with previous finding of higher  
346 humoral immunogenicity to mRNA-1273 compared to BNT162b2 in healthy subjects.<sup>27</sup>

347 Both standard and third dose vaccination were safe with respect to patient reported AEs, with  
348 no serious AEs reported. Numerically, patients on rituximab reported less adverse events than  
349 healthy controls. This might be due to lower age of the healthy controls, though we cannot  
350 rule out an association between AEs and humoral response, where immunosuppressive  
351 medication reduces side-effects as well as immunogenicity of the SARS-CoV-2 vaccines.  
352 More patients reported bleeding/bruises after the third than second dose, but sample size is  
353 small and the current results on adverse events should be interpreted with caution.

354 Strengths of this study include the broad inclusion with a personal invitation to all rituximab  
355 patients in our department which increases the generalisability of our findings; close follow-  
356 up including assessment of AEs, and broad assessment of vaccine response with both humoral  
357 - and in-depth cellular response to standard- and third dose vaccination.

358 This study also has some limitations. First, the study population was older (median 60 years)  
359 than the healthy controls (median 43 years), which might interfere with comparability of  
360 results. The difference in serological response, however, was greater than what can be  
361 explained by age alone,<sup>28,29</sup> and we adjusted for age in the analyses. Second, the number of  
362 included patients was too low to draw definite conclusions regarding safety, but our data are  
363 reassuring regarding the safety of third dose vaccination in immunocompromised patients  
364 with weak responses to standard vaccination. Third, for feasibility reasons, the number of  
365 patients with T cell assessments after the third dose included only 12 patients. However,  
366 patients chosen for T cell analyses were randomly selected among the rituximab patients prior  
367 to standard vaccination and our findings were consistent across all patients tested. Fourth,



368 only patients were offered a third dose, hence the response after a third dose could not be  
369 compared to healthy controls.

370 Rituximab treated RA patients are at risk of severe COVID-19 disease,<sup>4,7</sup> and are in particular  
371 need of protection by vaccination. In terms of serological responses, our data suggest that a  
372 prolonged interval between rituximab infusion and vaccination (>9 months) could be  
373 beneficial. Most rituximab-treated patients did not evolve a serological response to standard-  
374 or third dose vaccination, but exhibited a clear increase in the T cell response, and few  
375 adverse events upon receiving a third dose. Further studies are needed to assess the clinical  
376 protection provided by a cellular response in the absence of anti-SARS-CoV-2 antibodies, but  
377 our results raise the possibility that patients on regular rituximab infusions may rely on  
378 cellular immunity alone. This study supports third dose vaccination in rituximab treated RA  
379 patients in order to keep this vulnerable population protected against COVID-19, and can  
380 inform patients, health care providers and decision makers regarding the optimal vaccination  
381 strategy in rituximab treated RA patients.

382

383

## 384 **RESEARCH IN CONTEXT**

### 385 **Evidence before this study**

386 We searched PubMed September 29, 2021, for studies published in English since January 1,  
387 2020, using different combinations of the terms “Rheumatoid arthritis” “vaccination” “SARS-  
388 CoV-2” “COVID-19” “rituximab” and “response”. Prior observational studies are generally  
389 small, but indicate that rituximab impairs serological response to vaccines including SARS-  
390 CoV-2 vaccines, in patients with rheumatoid arthritis. Limited information exists on T cell  
391 responses, and no data exist on third dose vaccination on RA patients treated with rituximab.

### 392 **Added value of this study**

393 In this large rituximab RA cohort, only 22% of patients developed a normal serological  
394 response to standard two dose SARS-CoV-2 vaccination. Time between the last rituximab  
395 infusion and the first vaccine dose was the main predictor of a vaccine response with a  
396 median interval of nine months in patients with response. A cellular immune response was,  
397 however, present in more than half of the patients after standard two dose vaccination. A third  
398 vaccine dose given to patients with a weak serological response was safe and elicited a robust  
399 T-cell response in all patients, despite inducing a serological response in only a small  
400 proportion of patients.

### 401 **Implications of all the available evidence**

402 If possible, patients should be vaccinated against COVID-19 prior to initiation of rituximab  
403 therapy. For optimal response, the interval between rituximab and vaccination should be as  
404 long as possible, preferably at least 9 months. A cellular immune response after vaccination  
405 may be present in the absence of anti-SARS-CoV-2 antibodies.

406 A third vaccine dose given to a patient treated with rituximab within the last 6–9 months will  
407 likely not induce a serological response but could be considered in order to boost the cellular  
408 immune response. The clinical significance of the cellular immune response in the absence of

409 virus-specific antibodies remains to be elucidated. Alternative anti-rheumatic therapies might  
410 be considered in individual patients if repeated rituximab infusions preclude the development  
411 of protective anti-SARS-CoV-2 antibodies.

412

413

414

415

416 **CONTRIBUTORS**

417 All authors critically revised the report and approved the final submitted version, and take the  
418 responsibility for the completeness and accuracy of the data and analyses. All authors had full  
419 access to all the data in the study and have made the final decision to submit the manuscript  
420 for publication. IJ, HK, GLG, SWS, ATT, FTJ, LAM and JS have verified the underlying  
421 data.

422 IJ, GLG, SWS, KKJ, FLJ, LAM and JTV conceived and designed the study. GLG, SWS,  
423 KKJ, FLJ, LAM, ATT, SAP and IJ oversaw the implementation of the study. GLG, SWS,  
424 SAP, KKJ, ATT and IJ collect the data. IJ, HK, GLG, SWS, FLJ, LAM, JS, ATT, SAP and IJ  
425 interpreted data and drafted the report. FLJ developed the assay used for serological  
426 assessment. FLJ, EBV and TTT performed the serological analysis. HK, SM and LAM  
427 performed the T-cell analysis. JS was the study statistician. ATT, DJW, TKK, EAH, SM, GG,  
428 GBK, and JJ contributed to study conception and design. LSHN-M and AMA contributed to  
429 data collection.

430 **DATA SHARING**

431 A de-identified patient data set can be made available to researchers upon reasonable request.  
432 The data will only be made available after submission of a project plan outlining the reason  
433 for the request and any proposed analyses, and will have to be approved by the Nor-vaC  
434 steering group. Project proposals can be submitted to the corresponding author. Data sharing  
435 will have to follow appropriate regulations.

436 **DECLARATION OF INTERESTS**

437 KKJ reports speakers bureaus from Roche and BMS, advisory board Celltrion and Norgine. JJ  
438 reports grants from Abbvie, Pharmacosmos, Ferring, consulting fees from Abbvie, Boehringer  
439 Ingelheim, BMS, Celltrion, Ferring, Glihead, Janssen Cilag, MSD, Napp Pharma, Novartis,

440 Orion Pharma, Pfizer, Pharmacosmos, Takeda, Sandoz, Unimedica Pharma, speakers bureaus  
441 Abbvie, Astro Pharma, Boehringer Ingelheim, BMS, Celltrion, Ferring, Glihead, Hikma,  
442 Janssen Cilag, Meda, MSD, Napp Pharma, Novartis, Orion Pharma, Pfizer, Pharmacosmos,  
443 Roche, Takeda, Sandoz. TKK reports grants from AbbVie, Amgen, BMS, MSD, Novartis,  
444 Pfizer, UCB, consulting fees from AbbVie, Amgen, Biogen, Celltrion, Eli Lilly, Gilead,  
445 Mylan, Novartis, Pfizer, Sandoz, Sanofi, speakers bureaus Amgen, Celltrion, Egis,  
446 Evapharma, Ewopharma, Hikma, Oktal, Sandoz, Sanofi. LAM reports funding from KG  
447 Jebsen foundation, support for infrastructure and biobanking from the University of Oslo and  
448 Oslo University Hospital, grants from the Coalition of Epidemic Preparedness Innovations  
449 CEPI, speakers bureaus Novartis, Cellgene. GG reports consulting fees from the Norwegian  
450 System of Compensation to Patients, AstraZeneca, speakers bureaus Bayer, Sanofi Pasteur,  
451 and Thermo Fisher. JTV reports grant from the Coalition of Epidemic Preparedness  
452 Innovations (CEPI). FLJ reports grant from the Coalition of Epidemic Preparedness  
453 Innovations (CEPI), grant from South-East region Health authority. GLG reports funding  
454 from The Karin Fossum foundation, Diakonhjemmet Hospital, Oslo University Hospital,  
455 Akershus University Hospital, Trygve Gydtfeldt og frues Foundation, South-East region  
456 Health authority, consulting fees AbbVie and Pfizer, speakers fees AbbVie, Pfizer, Sandoz,  
457 Orion Pharma, Novartis and UCB, advisory board Pfizer, AbbVie. SWS, IJ, HK, ATT, TTT,  
458 JS, SAP, SM, DJW, LSNM, AMA, EBV, GBK, and EAH report nothing to disclose.

## 459 **ACKNOWLEDGEMENTS**

460 We would like to thank the patients participating in the study, we are very grateful for the  
461 time and effort they have invested in the project. We thank the patient representative in the  
462 study group, Kristin Isabella Espe. Ingrid Egner and Katrine Persgård Lund are acknowledged  
463 for organising the cellular biobank, and personnel at the Department of Immunology, Oslo  
464 University Hospital for collection of control samples at OUS. Amin Alirezaylavasani, Julie

465 Røkke and Victoriia Chaban are thanked for technical assistance. We thank all study  
466 personnel involved at Division of Rheumatology and Research at Diakonhjemmet Hospital,  
467 especially Kjetil Bergsmark, Ruth Hilde Laursen and May Britt Solem.

468

- 470 1. Polack FP, Thomas SJ, Kitchin N, et al. Safety and Efficacy of the BNT162b2 mRNA Covid-19  
471 Vaccine. *N Engl J Med* 2020; **383**(27): 2603-15.
- 472 2. Baden LR, El Sahly HM, Essink B, et al. Efficacy and Safety of the mRNA-1273 SARS-CoV-2  
473 Vaccine. *New England Journal of Medicine* 2020; **384**(5): 403-16.
- 474 3. Friedman MA, Curtis JR, Winthrop KL. Impact of disease-modifying antirheumatic drugs on  
475 vaccine immunogenicity in patients with inflammatory rheumatic and musculoskeletal diseases.  
476 *Annals of the Rheumatic Diseases* 2021; **80**(10): 1255.
- 477 4. Avouac J, Drumez E, Hachulla E, et al. COVID-19 outcomes in patients with inflammatory  
478 rheumatic and musculoskeletal diseases treated with rituximab: a cohort study. *Lancet Rheumatol*  
479 2021; **3**(6): e419-e26.
- 480 5. Fagni F, Simon D, Tascilar K, et al. COVID-19 and immune-mediated inflammatory diseases:  
481 effect of disease and treatment on COVID-19 outcomes and vaccine responses. *The Lancet*  
482 *Rheumatology* 2021; **3**(10): e724-e36.
- 483 6. Raiker R, DeYoung C, Pakhchanian H, et al. Outcomes of COVID-19 in patients with  
484 rheumatoid arthritis: A multicenter research network study in the United States. *Seminars in Arthritis*  
485 *and Rheumatism* 2021; **51**(5): 1057-66.
- 486 7. Andersen KM, Bates BA, Rashidi ES, et al. Long-term use of immunosuppressive medicines  
487 and in-hospital COVID-19 outcomes: a retrospective cohort study using data from the National COVID  
488 Cohort Collaborative. *The Lancet Rheumatology*.
- 489 8. Jena A, Mishra S, Deepak P, et al. Response to SARS-CoV-2 vaccination in immune mediated  
490 inflammatory diseases: Systematic review and meta-analysis. *Autoimmun Rev* 2021: 102927.
- 491 9. Mrak D, Tobudic S, Koblischke M, et al. SARS-CoV-2 vaccination in rituximab-treated patients:  
492 B cells promote humoral immune responses in the presence of T-cell-mediated immunity. *Annals of*  
493 *the Rheumatic Diseases* 2021; **80**(10): 1345.
- 494 10. Furer V, Eviatar T, Zisman D, et al. Immunogenicity and safety of the BNT162b2 mRNA  
495 COVID-19 vaccine in adult patients with autoimmune inflammatory rheumatic diseases and in the  
496 general population: a multicentre study. *Annals of the Rheumatic Diseases* 2021; **80**(10): 1330.
- 497 11. Moor MB, Suter-Riniker F, Horn MP, et al. Humoral and cellular responses to mRNA vaccines  
498 against SARS-CoV-2 in patients with a history of CD20 B-cell-depleting therapy (RituxiVac): an  
499 investigator-initiated, single-centre, open-label study. *The Lancet Rheumatology* 2021; **3**(11): e789-  
500 e97.
- 501 12. McMahan K, Yu J, Mercado NB, et al. Correlates of protection against SARS-CoV-2 in rhesus  
502 macaques. *Nature* 2021; **590**(7847): 630-4.
- 503 13. Rydzynski Moderbacher C, Ramirez SI, Dan JM, et al. Antigen-Specific Adaptive Immunity to  
504 SARS-CoV-2 in Acute COVID-19 and Associations with Age and Disease Severity. *Cell* 2020; **183**(4):  
505 996-1012.e19.
- 506 14. Bange EM, Han NA, Wileyto P, et al. CD8+ T cells contribute to survival in patients with  
507 COVID-19 and hematologic cancer. *Nature Medicine* 2021; **27**(7): 1280-9.
- 508 15. Bonelli MM, Mrak D, Perkmann T, Haslacher H, Aletaha D. SARS-CoV-2 vaccination in  
509 rituximab-treated patients: evidence for impaired humoral but inducible cellular immune response.  
510 *Annals of the Rheumatic Diseases* 2021; **80**(10): 1355.
- 511 16. Alexander JL, Selinger CP, Powell N. Third doses of SARS-CoV-2 vaccines in  
512 immunosuppressed patients with inflammatory bowel disease. *Lancet Gastroenterol Hepatol* 2021.
- 513 17. Bar-On YM, Goldberg Y, Mandel M, et al. Protection of BNT162b2 Vaccine Booster against  
514 Covid-19 in Israel. *New England Journal of Medicine* 2021; **385**(15): 1393-400.
- 515 18. Felten R, Gallais F, Schleiss C, et al. Cellular and humoral immunity after the third dose of  
516 SARS-CoV-2 vaccine in patients treated with rituximab. *Lancet Rheumatol* 2021.

- 517 19. Norwegian Institute of Public Health. Norwegian Immunisation Registry (SYSVAK).  
518 <https://www.fhi.no/en/hn/health-registries/norwegian-immunisation-registry-sysvak/> (accessed  
519 15.10. 2021).
- 520 20. Norwegian Institute of Public Health. Norwegian Surveillance System for Communicable  
521 Diseases (MSIS). <https://www.fhi.no/en/hn/health-registries/msis/> (accessed 15.10. 2021).
- 522 21. Holter JC, Pischke SE, de Boer E, et al. Systemic complement activation is associated with  
523 respiratory failure in COVID-19 hospitalized patients. *Proc Natl Acad Sci U S A* 2020; **117**(40): 25018-  
524 25.
- 525 22. König M, Lorentzen Å R, Torgauten HM, et al. Humoral immunity to SARS-CoV-2 mRNA  
526 vaccination in multiple sclerosis: the relevance of time since last rituximab infusion and first  
527 experience from sporadic revaccinations. *J Neurol Neurosurg Psychiatry* 2021.
- 528 23. Khoury DS, Cromer D, Reynaldi A, et al. Neutralizing antibody levels are highly predictive of  
529 immune protection from symptomatic SARS-CoV-2 infection. *Nature Medicine* 2021; **27**(7): 1205-11.
- 530 24. Bergwerk M, Gonen T, Lustig Y, et al. Covid-19 Breakthrough Infections in Vaccinated Health  
531 Care Workers. *New England Journal of Medicine* 2021; **385**(16): 1474-84.
- 532 25. Chia WN, Zhu F, Ong SWX, et al. Dynamics of SARS-CoV-2 neutralising antibody responses  
533 and duration of immunity: a longitudinal study. *The Lancet Microbe* 2021; **2**(6): e240-e9.
- 534 26. Le Bert N, Tan AT, Kunasegaran K, et al. SARS-CoV-2-specific T cell immunity in cases of  
535 COVID-19 and SARS, and uninfected controls. *Nature* 2020; **584**(7821): 457-62.
- 536 27. Steensels D, Pierlet N, Penders J, Mesotten D, Heylen L. Comparison of SARS-CoV-2 Antibody  
537 Response Following Vaccination With BNT162b2 and mRNA-1273. *JAMA* 2021; **326**(15): 1533-5.
- 538 28. Collier DA, Ferreira IATM, Kotagiri P, et al. Age-related immune response heterogeneity to  
539 SARS-CoV-2 vaccine BNT162b2. *Nature* 2021; **596**(7872): 417-22.
- 540 29. Richards NE, Keshavarz B, Workman LJ, Nelson MR, Platts-Mills TAE, Wilson JM. Comparison  
541 of SARS-CoV-2 Antibody Response by Age Among Recipients of the BNT162b2 vs the mRNA-1273  
542 Vaccine. *JAMA Network Open* 2021; **4**(9): e2124331-e.

543

544



545 **FIGURES**

546 **[Figure 1 A-D title]**

547 Humoral response to standard and third vaccine dose

548 **[Figure 1 A-D legend]**

549 A) The violin illustrates the kernel probability density of antibody titres, and the line indicates  
550 the median. Dots denote individual patients. Sars-CoV-2 antibodies (anti-RBD) levels in  
551 healthy controls and in all patients after standard two dose vaccination, after standard two  
552 dose vaccination in patients later receiving a third dose, and after third dose vaccination in  
553 patients (n=49). B) Time between last rituximab infusion and first vaccine dose and anti-RBD  
554 response in all patients after standard two dose vaccination. No response=anti-RBD<5AU/ml,  
555 weak response=anti-RBD 5–70AU/ml and response=anti-RBD≥70AU/ml. C) Anti–receptor-  
556 binding domain (RBD) IgG antibody levels measured 2–4 weeks after the second and third  
557 vaccine dose. Lines are between the patients’ two samples. Horizontal dotted line indicates  
558 the cut off for positivity (70 arbitrary units [AU]/mL). D) Time between last rituximab and  
559 anti-RBD response after third vaccination (n=49).

560 **[Figure 2 A-C title]**

561 T cell responses after standard and third dose vaccination

562 **[Figure 2 A-C Legend]**

563 A) Quantification of anti-Spike T cell response in patients after the second and third dose of  
564 anti-SARS-CoV-2 vaccine and in healthy controls after the second vaccine dose. CD4+ T cell  
565 responses (top) and CD8+ T cell responses (below) are shown for all unstimulated/stimulated  
566 pairs, Wilcoxon matched-pairs signed rank test is shown with \*\*\* and \*\*\*\* for p<0.0001 and  
567 p<0.0001 respectively. Positive and negative patients are indicated. B) Analysis of T cell  
568 response directed against wild type and delta variant SARS-CoV-2 Spike peptides as in (A)

569 for patients after second and third vaccine dose (Spearman correlation). C) Combined data of  
570 second and third dose in patients showing response to wild type and delta variant SARS-CoV-  
571 2 Spike peptides. Top: percent of specific anti-Spike CD4<sup>+</sup> T cells versus anti-Spike CD8<sup>+</sup> T  
572 cells in responder patients. Below: percent of specific anti-Spike CD4 T cells versus age (y).  
573 Spearman correlation is shown. See also appendix p5 for supplementary data for gating and  
574 controls.

575 **[Figure 3 title]**

576 Adverse events following standard two dose- and third dose vaccination in patients and  
577 controls

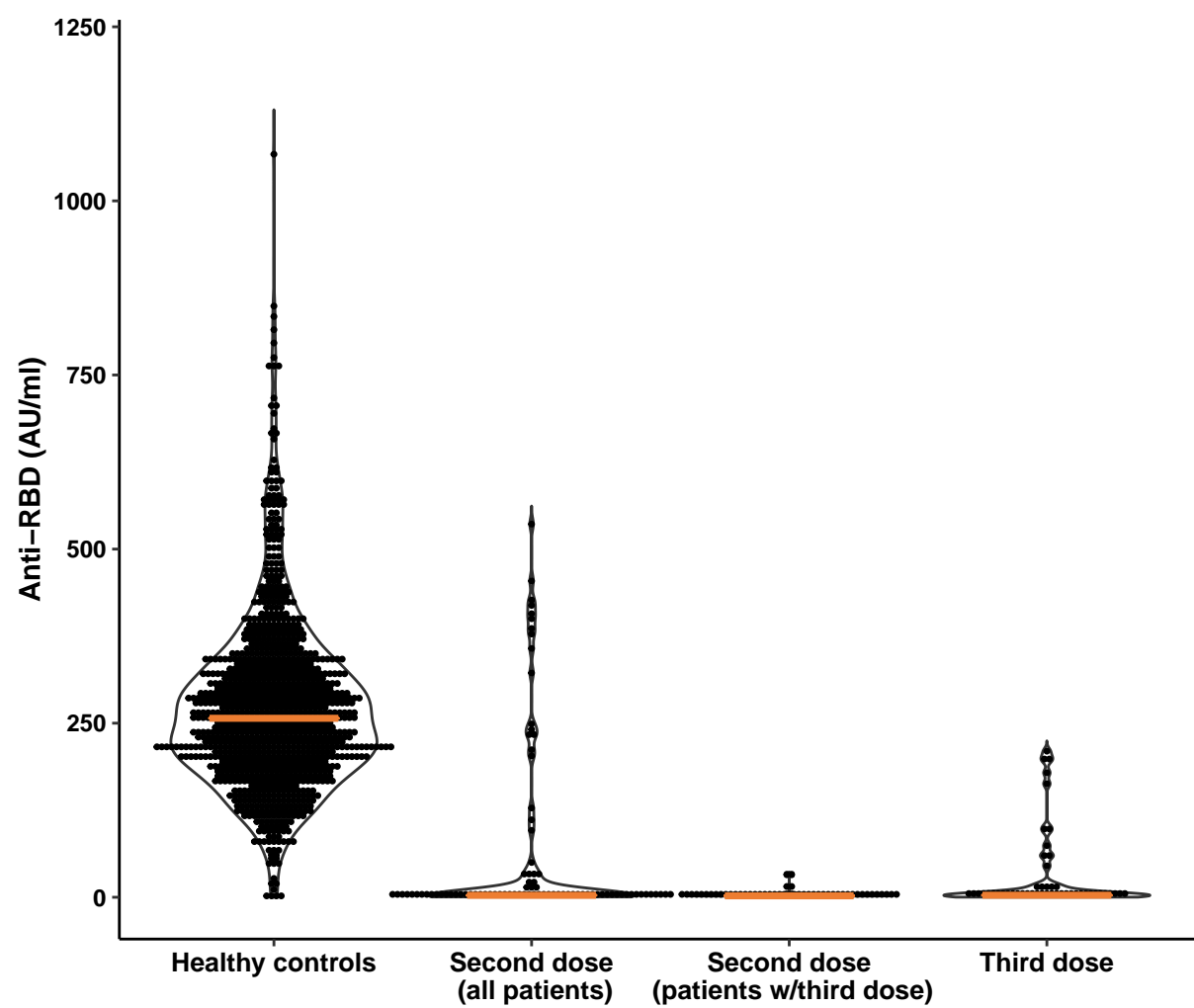
578 **[Figure 3 legend]**

579 Blue, orange and grey bars indicate adverse events reported after the first, second and third  
580 vaccine dose, respectively. Adverse events were reported for all patients and a subset (n=246)  
581 of healthy controls (health care workers at DH and AHUS).

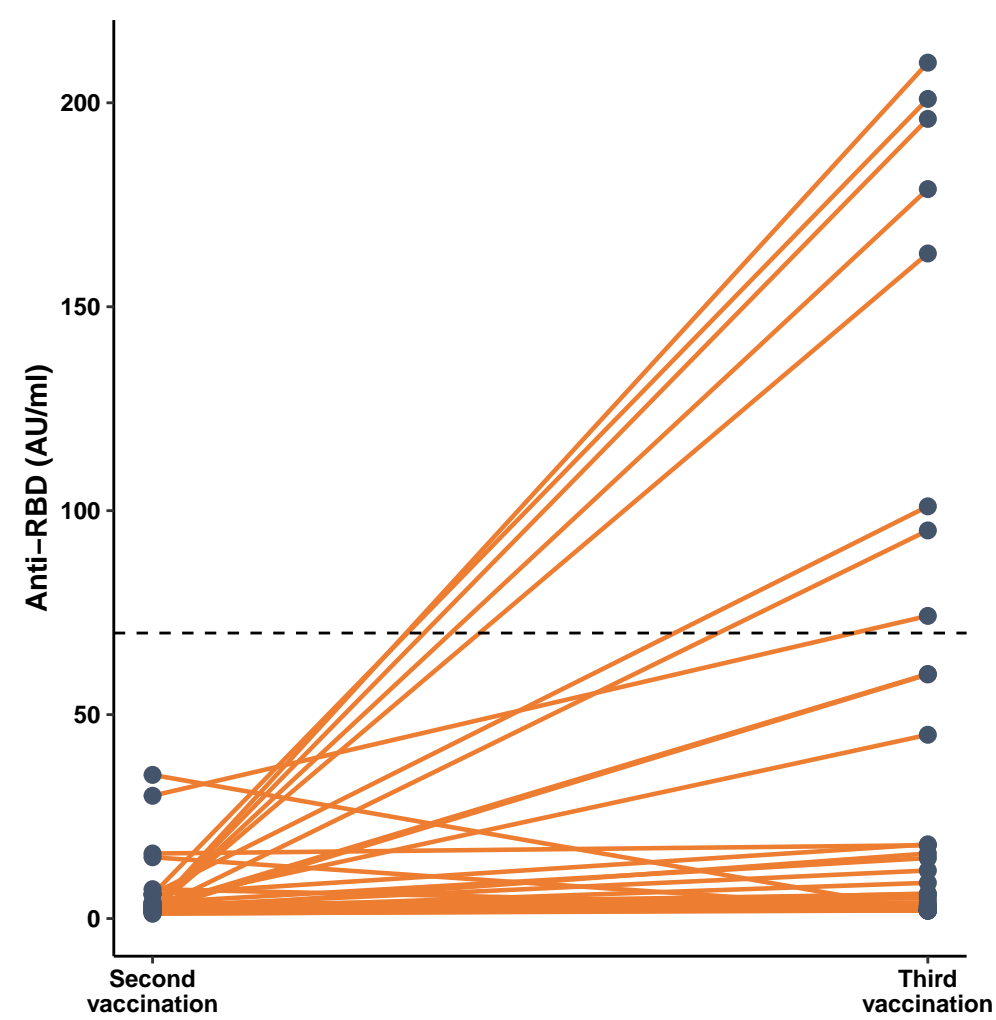
582 \*Duration not measured

583 †No patients were hospitalized due to disease flare after vaccinations

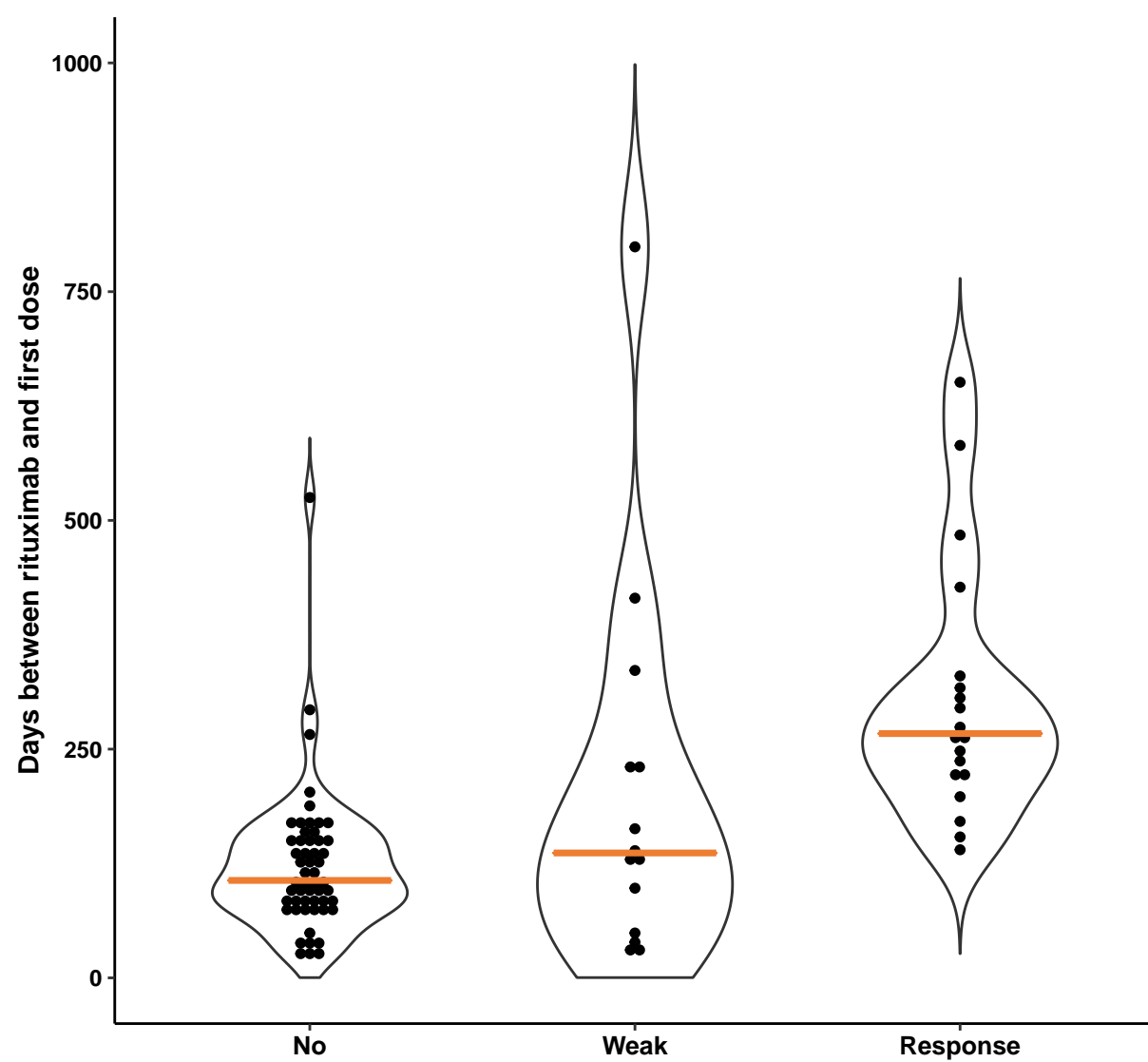
584

**A.**

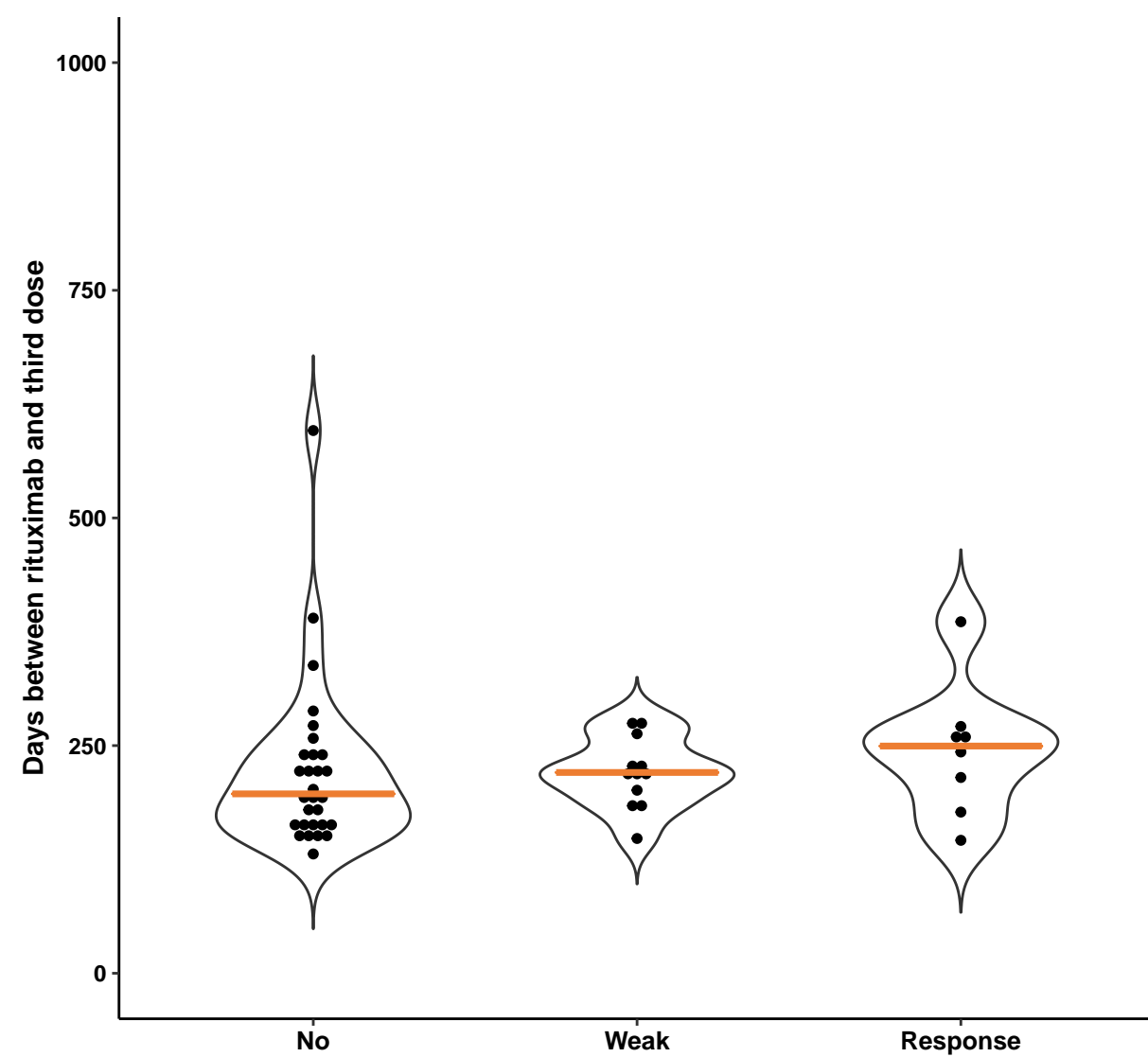
	Healthy controls	Second dose (all patients)	Second dose (patients w/third dose)	Third dose
No. observations	1114	87	49	49
Responders (>70)	1096	19	0	8
Median (IQR)	257 (198–327)	3 (2–33)	2 (2–3)	3 (2–18)

**C.**

	Second vaccination	Third vaccination
No. observations	49	49
Median (IQR)	2 (2–3)	3 (2–18)
P-value	–	<0.0001

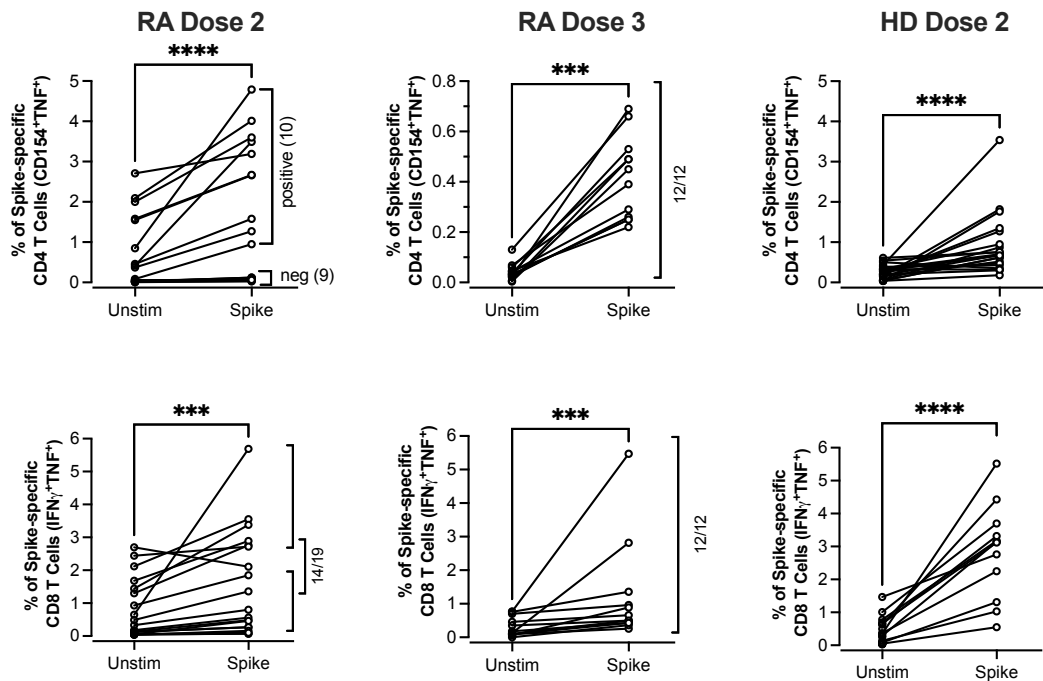
**B.**

	No	Weak	Response
No. observations	54	14	19
Median (IQR)	106 (80–152)	137 (61–233)	267 (222–324)

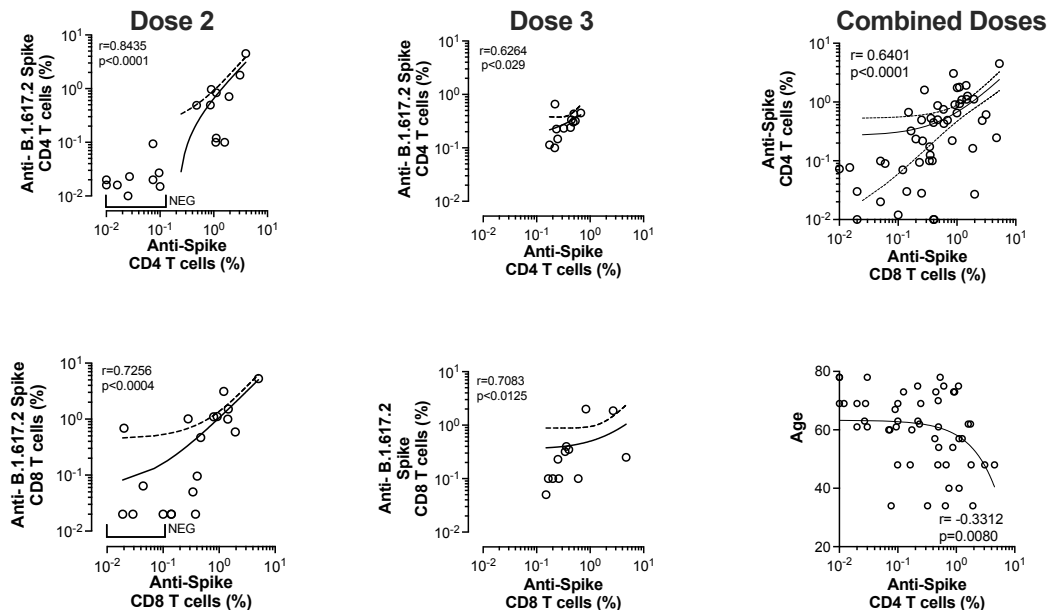
**D.**

	No	Weak	Response
No. observations	29	12	8
Median (IQR)	197 (163–243)	220 (197–238)	250 (206–265)

A)

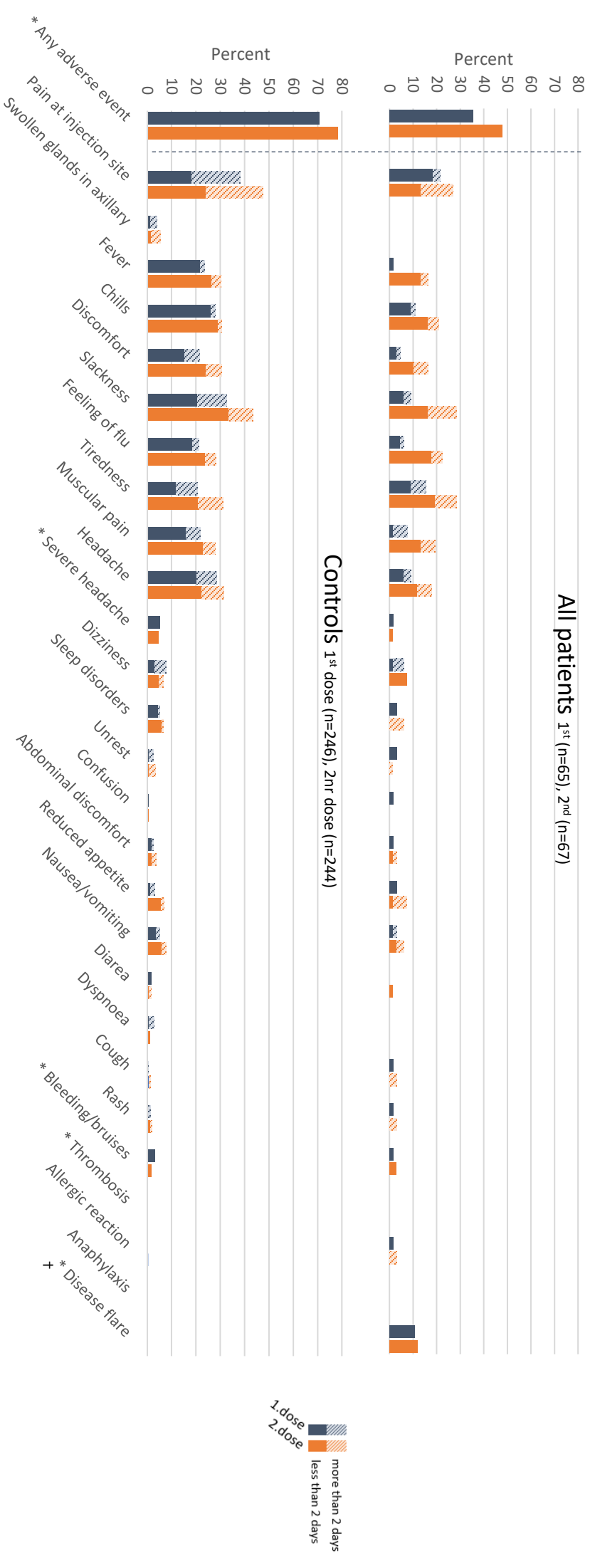


B)



C)

**All patients** 1<sup>st</sup> (n=65), 2<sup>nd</sup> (n=67)



**Controls** 1<sup>st</sup> dose (n=246), 2<sup>nd</sup> dose (n=244)

