

1 **Lyme neuroborreliosis and bird populations in northern Europe**

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23 **Abstract**

24 Many vector-borne diseases are transmitted through complex pathogen-vector-host networks,  
25 which makes it challenging to identify the role of specific host groups in disease emergence.  
26 Lyme borreliosis in humans is now the most common vector-borne zoonosis in the northern  
27 hemisphere. The disease is caused by multiple genospecies of *Borrelia burgdorferi* sensu lato  
28 bacteria transmitted by ixodid (hard) ticks, and the major host groups transmit *Borrelia*  
29 genospecies with different pathogenicity, causing variable clinical symptoms in humans. The  
30 health impact of a given host group is a function of the number of ticks it infects as well as the  
31 pathogenicity of the genospecies it carries. *B. afzelii*, with mainly small mammals as  
32 reservoirs, is the most common pathogen causing Lyme borreliosis, and it is often responsible  
33 for the largest proportion of infected host-seeking tick nymphs in Europe. The bird-borne *B.*  
34 *garinii*, though less prevalent in nymphal ticks, is more likely to cause Lyme neuroborreliosis,  
35 but whether *B. garinii* causes disseminated disease more frequently has not been documented.  
36 Based on extensive data of annual disease incidence across Norway from 1995-2017, we  
37 show here that 69 % of disseminated Lyme borreliosis cases were neuroborreliosis, which is  
38 three times higher than predicted from the infection prevalence of *B. garinii* in host-seeking  
39 ticks (21 %). The population estimate of migratory birds, mainly of thrushes, explained part of  
40 the annual variation in cases of neuroborreliosis, with a one-year time lag. We highlight the  
41 important role of the genospecies' pathogenicity and the host associations for understanding  
42 the epidemiology of disseminated Lyme borreliosis.

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## 44 **1. Introduction**

45 Ecosystems provide vital services and goods to humanity, but they also cause disservices in  
46 terms of spillover of pathogens circulating in the ecosystems [1]. An important part of disease  
47 mitigation is to understand the ecology and circulation of the pathogens and their effects on  
48 the epidemiology of human diseases. However, the dynamics of zoonotic diseases can be  
49 difficult to unravel due to the complexity of the natural transmission networks [2,3]. In  
50 particular, the transmission of some vector-borne diseases may involve multiple hosts,  
51 parasites and pathogens. Such complexity makes it difficult to assess how each host type  
52 contributes to the epidemiology of disease. Lyme borreliosis is now the most common vector-  
53 borne disease in the northern hemisphere and has a particularly complex epidemiology [4-6].  
54 The disease is caused only by some of the 19 members of the *Borrelia burgdorferi* sensu lato  
55 (s.l.) complex [5], which consists of multiple genospecies. In western Europe, the  
56 transmission of these pathogenic agents to humans occurs via one tick, *Ixodes ricinus*, and the  
57 density of infected nymphs typically determines disease hazard to humans [7]. In Europe, *B.*  
58 *afzelii* is often the most common genospecies in questing (host-seeking) nymphs due to the  
59 ubiquity of its small mammal reservoirs [8,9]. *B. garinii* is mainly bird-borne and is usually  
60 the second most common genospecies in questing nymphs. However, *B. garinii* is the main  
61 causal agent for the more severe infection, Lyme neuroborreliosis [10,11]. Hence, bird  
62 populations may have a more important role in spreading the pathogen causing disseminated  
63 Lyme borreliosis in humans than is currently documented and assumed based on the  
64 prevalence of *B. garinii* in questing nymphs.

65 Early clinical symptoms (stage 1) of Lyme borreliosis in humans may include erythema  
66 migrans [6,12], which is an expanding skin lesion, as well as fatigue, fever, headache, mild  
67 stiff neck, muscle and joint pains [13]. If untreated, the infection can progress to early  
68 disseminated infection (stage 2, up to 6 months), involving neurological abnormalities in the

69 case of neuroborreliosis. The most common signs of early neuroborreliosis is Lymphocytic  
70 meningoradiculitis (Bannwarth's syndrome) and central nervous system (CNS)  
71 manifestations, while late stage (stage 3, more than 6 months) neuroborreliosis include both  
72 peripheral nervous system (PNS) and CNS manifestations [14]. Other common clinical  
73 symptoms of late disseminated infections may involve arthritis in North America and  
74 acrodermatitis chronica atrophicans (ACA) in Europe, and sometime also carditis [6,12].  
75 Therefore, Lyme borreliosis involves a variety of clinical symptoms, and it is becoming  
76 increasingly clear that the different genospecies within the *Borrelia burgdorferi* s.l. complex  
77 usually cause different clinical symptoms in humans [15-21]. In Europe, coupling of the  
78 clinical diagnosis and the presence of genospecies show that most late-stage dermatological  
79 manifestations (ACA) were caused by *B. afzelii*, while *B. garinii* usually causes  
80 neuroborreliosis [14,22]. There is still limited knowledge about the extent to which different  
81 genospecies lead to disseminated disease, which may depend on both the likelihood of the  
82 patient receiving antibiotic treatment at an early stage and the human immune system's ability  
83 to tackle different genospecies. One study indicated that *B. afzelii* caused more localized  
84 infection and was significantly less associated with disseminated infection in patients  
85 compared to *B. burgdorferi* s.s. [16]. Skin infections caused by *B. afzelii* may be more readily  
86 identifiable and treated in an early stage. Hence, since *B. garinii* cause qualitatively more  
87 severe cases of neuroborreliosis [8], infections with *B. garinii* may become overrepresented  
88 among cases of disseminated Lyme borreliosis in humans compared to their proportion in  
89 infected nymphs (Fig. 1).

90 Ixodid ticks are expanding in geographical distribution in elevation and towards the north in  
91 both Europe [23] and North America [24]. Lyme borreliosis is currently emerging in northern  
92 Europe [25-27] as well as in Canada [28], and understanding the causes is urgent. In Norway,  
93 Lyme borreliosis has a status as a 'notifiable disease', and data across the whole country for

94 the period 1995-2017 is available [26]. The data include cases of disseminated Lyme  
95 borreliosis (stage 2-3), as well as cases with the specific diagnosis of Lyme neuroborreliosis.  
96 We here quantify for the first time the proportion of disseminated Lyme borreliosis cases  
97 being neuroborreliosis across space and time at a broad (national) spatial scale. We  
98 specifically test the hypothesis ( $H_1$ ) that *B. garinii* more often causes disseminated infection  
99 than does *B. afzelii* by comparing the local proportion of neuroborreliosis in official Lyme  
100 statistics patients to the local proportion of *B. garinii* and *B. afzelii* in questing nymphs.  
101 Because neuroborreliosis in northern Europe is linked to *B. garinii* and birds [10], we  
102 calculated an annual bird disease hazard as the number of *B. garinii* infected nymphs  
103 produced by a given bird population the previous year. We test the hypothesis ( $H_2$ ) that  
104 annual variation in disseminated Lyme neuroborreliosis incidence can be predicted by annual  
105 variation in bird disease hazard.

## 106 **2. Material and Methods**

### 107 **(a) Study area**

108 The Lyme borreliosis data cover the whole of Norway with a latitudinal range of 57°58'-  
109 71°08'N [26,27]. Due to the favourable mild and humid climate for *I. ricinus* and its hosts, the  
110 south and south-west part of the coast is an endemic area for the occurrence of Lyme  
111 borreliosis in Norway [29]. A mountain range in the south separates the western coastal  
112 region from the eastern inland region, with the latter having a more continental climate. The  
113 temperature is colder with increasing latitude, which affects both vegetation and tick  
114 distribution [30]. The Gulf Stream also warms the western coast considerably with a humid  
115 climate that is favourable for ticks [31]. Further inland and towards the east, with a colder and  
116 drier climate, the forest is boreonemoral or boreal with coniferous forests dominating [32].  
117 There are smaller areas of (deciduous) nemoral forest requiring a warmer climate along the

118 southernmost coast, i.e., deciduous forest trees such as oak (*Quercus* spp.) requiring a warmer  
119 habitat.

### 120 **(b) Notifiable Lyme borreliosis in humans**

121 Lyme borreliosis has been a nominally notifiable disease in Norway since 1991; but we  
122 restricted data to 1995 onwards when disseminated cases was consistently reported [33]. We  
123 provide a detailed account for criteria to be notified as Lyme borreliosis in the Supplementary  
124 Info. The data has been used previously to study the distribution of ticks [30] and the role of  
125 deer populations for Lyme borreliosis incidence [26,27]. All data in Norway included 4685  
126 cases of Lyme borreliosis, of which 3254 (69 %) was Lyme neuroborreliosis. As in previous  
127 analyses [26,27], we limited the data to cases for which the municipality of the tick bite was  
128 confirmed (Lyme borreliosis: n = 2844, neuroborreliosis: n = 1940). There may be a time lag  
129 between tick bite and disseminated infection [12], and hence, the time of registration in the  
130 disease statistics may differ from the time of the tick bite. However, there were very few cases  
131 reported in January-May, while numbers peaked in August-October and subsequently  
132 declined [34]. Therefore, the year of disease testing is usually the same year as the tick bite.

### 133 **(c) Indexing Lyme neuroborreliosis hazard from birds**

134 Lyme borreliosis hazard is typically defined as the abundance of infected nymphs in a given  
135 year [7]. This is a function of both the number of larvae fed and the prevalence of *B.*  
136 *burgdorferi* s.l. in hosts during the previous year. The most common way to estimate the  
137 contribution of a given host species or group to the disease hazard is to use the estimated  
138 population size of each species/group multiplied by the mean estimated tick load [35,36]. The  
139 latter is the total tick load found on infested and non-infested birds divided by the total  
140 number of birds sampled. In addition, transmission competence is important for calculating  
141 the number of infected nymphs [8]. We, therefore, used metrics based on population numbers  
142 of birds (below), their expected average larval tick load and the average infection prevalence

143 by *B. garinii*. This is expected to predict the number of *B. garinii*-infected nymphs the  
144 following year, which can be termed the neuroborreliosis disease hazard.

145 Ground-feeding birds carry the most ticks [37], but they differ in their transmission  
146 competence of *B. garinii* [38]. Thrushes as a group that have been identified as the main  
147 group for hosting *B. garinii* across Europe [8], with some 11 species of birds contributing  
148 notably [38]. Based on current reviews from across Europe, we calculated two indices of  
149 neuroborreliosis disease hazard from birds. The basis for calculating the two hazard indexes  
150 below is the annual abundance of a given bird species from within Norway multiplied by the  
151 mean *B. garinii* infection prevalence of ticks from that bird species based on studies from  
152 across Europe [38] (ESM Table S1). These patterns of the mean *B. garinii* infection  
153 prevalence from across Europe are consistent with studies on birds from the Lista Bird  
154 Observatory (see below), in terms of relative tick loads [39], the estimated prevalence of *B.*  
155 *burgdorferi* s.l. [40,41] and the genospecies [42].

156 *Bird hazard index 1 Thrushes.* The Blackbird (*Turdus merula*), Songthrush (*Turdus*  
157 *philomelos*) and Redwing (*Turdus iliacus*) are regarded to be, by far, most important  
158 contributors to the transmission of *B. garinii* [38], and their *B. garinii* infection prevalence  
159 was 18.3 %, 12.0 % and 28.1 %, respectively. This hazard index is the sum of the hazard (bird  
160 abundance\*infection prevalence) of the three species of thrushes.

161 *Bird hazard index 2 Important birds.* In addition to the three thrushes (above), the Common  
162 Chaffinch (*Fringilla coelebs*), the Great Tit (*Parus major*), the Winter Wren (*Troglodytes*  
163 *troglodytes*), the Blackcap (*Sylvia atricapilla*), the European Robin (*Erithacus rubecula*), the  
164 Dunnock (*Prunella modularis*), and the Tree Pipit (*Anthus trivialis*) were added to this index.  
165 The Hawfinch (*Coccothraustes coccothraustes*), the Common Redstart (*Phoenicurus*  
166 *phoenicurus*), and the Common Whitethroat (*Sylvia communis*) are also listed as important for  
167 the transmission of *B. garinii* in Europe [43]. However, they were not sufficiently common in

168 the current sample to allow for population estimates, and they are subsequently unlikely to  
169 contribute to disease hazard index with such low numbers. This is likely related to the fact  
170 that the bird capture data in mist nets may not be equally representative for all birds breeding  
171 in the affected regions.

#### 172 **(d) Bird population data**

173 Data on migratory bird populations were available from Lista Bird Observatory (58°07'53"N  
174 6°42'10"E). The Observatory is located at the southwestern edge of Norway. It is the first  
175 point that migrant birds along the western route meet when they enter the Norwegian coast in  
176 spring. It is, hence, a major immigration route to Norway, and we assumed a strong  
177 correlation to the number of breeding birds in Norway [44]. Standardized bird counts and bird  
178 trapping are carried out at the observatory every spring and autumn [45]. Most birds were  
179 trapped in mist nets during periods of the day when a constant effort approach was applied  
180 [46], in which the total net area and net positions were held constant and playback was never  
181 used to increase the trapping efficiency [47]. From the 15<sup>th</sup> of March to the 10<sup>th</sup> of June each  
182 year, mist nets were used on a daily basis as the weather conditions permitted. Nets were  
183 opened 30 minutes prior to sunrise and closed no earlier than five hours after sunrise. We used  
184 data on all the birds ringed on those dates as well as those from the nests, which we expect to  
185 be a good predictor for available bird hosts covering the main tick questing season in spring  
186 [31]. From 2012 onwards, a standardized 6-hour daily catching period was introduced, which  
187 slightly lowered the total number of observations (see analysis for how this was accounted  
188 for). The same data have been used previously for the study of bird migration phenology [48].  
189 An extended index, including bird observations from March to September, was highly  
190 correlated with this period ( $r = 0.96$ ).

#### 191 **(e) Other covariates**



192 We used similar spatial covariates as used in previous analyses on the Lyme borreliosis  
193 incidence [26,27]. The deer population density index is based on harvest numbers of roe deer  
194 (*Capreolus capreolus*), red deer (*Cervus elaphus*) and moose (*Alces alces*) divided by the size  
195 of the deer habitat at the scale of municipality. At the scale of municipality, we retrieved the  
196 proportion of human settlement, the proportion of agricultural areas, the proportion of habitat  
197 above 200 m a.s.l. and the distance to the fjord. Due to its strong impact along the coast of  
198 Norway [49], we used the North Atlantic Oscillation (NAO) as a proxy for the climate, as  
199 well as the PCA-based index for December through February  
200 ([https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-pc-based)  
201 [pc-based](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-pc-based)). We considered the regions in the east (Østfold, Akershus, Oslo, Hedmark, Oppland  
202 and Buskerud counties), the south (Vestfold, Telemark, Aust-Agder and Vest-Agder  
203 counties), and the west (Rogaland, Hordaland, Sogn and Fjordane, and Møre and Romsdal  
204 counties) of Norway, because there were very few cases in northern Norway.

#### 205 **(f) Statistical analyses**

206 We analysed all data using R x 64 v. 3.3.3 software (<http://www.r-project.org/>). Cases of  
207 Lyme borreliosis and neuroborreliosis were modelled with negative binomial mixed effects  
208 regressions using the R package lme4. To analyse the incidence, we used the human  
209 population size in a given municipality as an offset variable. We excluded the 4 northernmost  
210 counties due to few positive cases (<4 % of sample) and being too distant from the Lista Bird  
211 Observatory. We also restricted the analysis to 204 (of 292) municipalities with at least one  
212 case of Lyme borreliosis. An overview of raw data is given in ESM Table S2.

213 As a starting point for our model selection, we used an established model structure that was  
214 found to fit our data well [26,27]. The parameters included were chosen based on minimizing  
215 the AIC corrected for small sample sizes (AICc) but also to fit the data in terms of having no  
216 spatial or temporal pattern in the residuals. We used a linear or quadratic year term to quantify

217 and remove trends. To account for remaining dependency in the nested data structure, we also  
218 tested both spatial dependency (i.e., the presence of Lyme borreliosis or neuroborreliosis in a  
219 neighbouring municipality the previous year) and temporal autocorrelation (incidence the  
220 previous year) terms. The established model [26,27] included the region (west/east/south), the  
221 NAO winter index, the spatial deer population density, proportion of human settlement,  
222 proportion of agricultural areas, proportion of habitat above 200 m a.s.l. and distance to the  
223 fjord. A second-order polynomial of the year was used to quantify the long-term trend in the  
224 incidence data. The model also included a year interaction term with the region, to account for  
225 a regional difference in the temporal trend of Lyme borreliosis cases. We then added the bird  
226 hazard index to this model. The Lyme borreliosis data, land use variables and deer densities  
227 were all gathered at a municipality scale. The bird data do not have a specific spatial scale, as  
228 they are one value per year. In the analysis, we lag the disease hazard index by one year, as  
229 questing larvae typically moult after their blood meal and overwinter before they emerge and  
230 quest as nymph the year after. When we had achieved a good model, we challenged the model  
231 fit by removing one variable at a time and seeing how it changed the AICc. We standardized  
232 the coefficients to enable direct comparisons of the effect sizes of the different variables.

### 233 **(g) Literature survey**

234 We retrieved all studies of the genospecies composition of *B. burgdorferi* s.l. in questing  
235 nymphs from across Norway (ESM Table S3). We did this by searching in ISI on “Borrelia  
236 AND Norway” and by searching publication lists of the most prominent tick researchers in  
237 Norway and screening for relevant publications. We conducted this survey to compare the  
238 regional disease hazard in questing ticks caused by *B. garinii* relative to the proportion of  
239 disseminated Lyme borreliosis that had clinical signs of neuroborreliosis (Fig. 2). For those  
240 studies reporting an average that was based on several nearby locations, we plotted the pie  
241 chart around the approximate middle location of the cluster of locations. For each study, we

242 retrieved the proportion of neuroborreliosis cases out of the total number of Lyme borreliosis  
243 cases at a county scale for the given year. If data included more years or areas, we used the  
244 average numbers. We then used a paired Wilcoxon-test to test if the proportion of *B. garinii* in  
245 nymphs differed from the proportion of neuroborreliosis cases.

### 246 **3. Results**

247 The proportion of neuroborreliosis among the disseminated Lyme borreliosis cases varied  
248 across years from 50 % to 84 % (minimum 58 % after year 2000), and between regions from  
249 61 % in the south (averaged across years), over 69 % in the east to 81 % in the west. We  
250 found a consistently much higher proportion (overall mean 69 %) of neuroborreliosis cases  
251 among patients with disseminated borreliosis (stage 2-3) than expected from the proportion of  
252 *B. garinii* in questing nymphal ticks (overall mean 21.4 %) for all regions of Norway (Fig. 2,  
253 ESM Table S3, paired the Wilcoxon signed rank test,  $p = 0.02$ ), i.e., we found strong support  
254 for  $H_1$  that *B. garinii* more often causes disseminated borreliosis compared to *B. afzelii*.

255 The two bird hazard indices were highly correlated ( $r = 0.99$ ), they provided similar model fit  
256 (AIC = 0.01) and parameter estimates, and we therefore only report results using bird disease  
257 hazard index 2. The annual variation in bird disease hazard, which was calculated from the  
258 expected production of *B. garinii*-infested nymphs that were produced by migratory birds  
259 coming to Norway, correlated with the number of neuroborreliosis cases the following year  
260 ( $H_2$ , Fig. 3, Table 1). The mean incidence per 1000 inhabitants was 0.049. The model predicts  
261 an increase in incidence from 0.049 to 0.056 ( $0.049 * \exp(0.066)^2$ ) per 1000 inhabitants if we  
262 increase the bird hazard by 2 SD. The effect of bird abundance was significant, with a p-value  
263 below 0.05, also when varying the model structure in terms of other covariates included. The  
264 effect was quantitatively stronger when excluding data before 2000. The result was robust to  
265 deleting the last five years (with a slight change in bird survey effort) or using a detrended

266 series of annual bird hazard, but a significant effect was dependent on the inclusion of the  
267 year 2007, with a peak in both cases of neuroborreliosis and bird hazard. There was also an  
268 effect linked to the annual variation in the NAO climate index. The effect of annual bird  
269 hazard was slightly weaker (but significant) if including all Lyme borreliosis cases and not  
270 restricted to Lyme neuroborreliosis cases only (ESM Table S4).

271 The variance of the mean spatial incidence was ~7.9 times higher than the variance of the  
272 mean annual incidence. Due to this, the effect size of the parameters estimated as the annual  
273 variation was, in general, weaker than the effect sizes of spatial covariates for explaining the  
274 incidence of neuroborreliosis (Fig. 3). As reported also earlier [26], the incidence of Lyme  
275 neuroborreliosis increased spatially with the cervid population density, and it decreased with  
276 the proportion of human settlement, agricultural fields, areas > 200 m a.s.l. and with  
277 increasing distance from the coast. The incidence of neuroborreliosis increased over time. The  
278 increase was less strong for the south region compared to the pooled west and east, but it was  
279 at a diminishing rate (i.e., a significant year<sup>2</sup> term for the west and east regions pooled; Table  
280 1).

#### 281 **4. Discussion**

282 Annual estimates of Lyme borreliosis suggest some 300,000 cases in the USA and 85,000  
283 cases in Europe, and yet, Lyme borreliosis is often assumed to be underreported. A recent  
284 topic is the extent to which the genospecies of *B. burgdorferi* s.l. differ in pathogenicity and in  
285 their ability to escape the immune system of humans [50,51] and cause disseminated infection  
286 [15]. In Norway alone, in the period 2005-2009 [52], approximately 11,000 persons visited a  
287 medical doctor after a tick bite, while some 7,000 received antibiotic treatment against  
288 borreliosis each year. However, official statistics of disseminated Lyme borreliosis are only in  
289 the range of some 400 annually in recent years. As predicted from the medical knowledge that

290 the bird-borne *B. garinii* is the main (97 %) cause of neuroborreliosis in Norway [10]; our  
291 study documents a 3-fold overrepresentation of neuroborreliosis among the cases of  
292 disseminated Lyme borreliosis compared to the prevalence of *B. garinii* in questing nymphal  
293 ticks (Fig. 2, Table 1). This result is additional evidence that *B. garinii* more often causes  
294 disseminated Lyme borreliosis than does *B. afzelii* at these northern latitudes. Hence, bird  
295 populations play a more important role for severe Lyme borreliosis than was predicted from  
296 the prevalence of *B. garinii* in ticks. Although current knowledge suggests that the highest  
297 proportion of the infected ticks have *B. afzelii* in many places in Europe [8,9], our study  
298 highlights that this hazard is not representative of the more severe disseminated infections.  
299 For the period 1995-2017, 69 % of the reported cases of disseminated infections in Norway  
300 was neuroborreliosis. Therefore, we would expect annual variations in cases of disseminated  
301 Lyme borreliosis to be linked to fluctuations in bird population numbers, mainly that of  
302 thrushes [38]. Our analysis provided some evidence that annual variations in incoming  
303 migratory birds to northern latitudes affects next year's incidence of disseminated Lyme  
304 borreliosis (Fig. 3), but the effect was dependent on inclusion of the year 2007, which had a  
305 peak in both cases of neuroborreliosis and bird numbers (the previous year).

#### 306 **(a) Clinical symptoms, diagnostics and treatment before dissemination**

307 As in many places of Europe [8,9], *B. afzelii* is the most common genospecies in questing  
308 ticks in Norway, with estimates between ~60-80 % (ESM Table S3). *B. garinii* is typically the  
309 second most common, with ~20-30 %. Hence, with 69 % of disseminated Lyme borreliosis  
310 being neuroborreliosis, this is a 3-fold overrepresentation in patients compared to the mean  
311 hazard of 21.4 %. The proportion of neuroborreliosis among all Lyme borreliosis cases has  
312 increased slightly over time. The notification criteria has been consistent, and adequate  
313 treatment have been available with only minor changes over the time period [53]. However,  
314 improved ELISAs for diagnostic appeared around 2005-08 [54], and the use of spinal

315 puncture became a more standard way to diagnose the disease in children (age group 0-9  
316 years) from 2015. Whether increased public attention and awareness to Lyme borreliosis play  
317 a role for the temporal trend is less clear. We correct for temporal trend in our analysis  
318 looking at year-to-year variation in numbers, and the proportional change in neuroborreliosis  
319 cases over time was not sufficiently strong to markedly affect the overrepresentation relative  
320 to genospecies found in questing ticks (Fig. 2). However, Lyme borreliosis is challenging to  
321 diagnose due to a range of clinical manifestations and low sensitivity and specificity of  
322 available diagnostic tests [13]. The wide variation in antigenicity among *Borrelia* genospecies  
323 may affect the sensitivity and specificity of diagnostics [55]. If genospecies differ in the  
324 presentation of clinical symptoms, this may in turn bias disease statistics directly or indirectly  
325 if it leads to a differential treatment. Therefore, both different pathogenicity and the likelihood  
326 of receiving treatment may affect the extent to which infections by different genospecies lead  
327 to disseminated disease.

328         The ability of the different genospecies to cause erythema migrans (EM) may affect  
329 the likelihood of causing systemic infection [17,56], because it affects the likelihood of  
330 receiving treatment, as EM is readily identified as Lyme borreliosis and then treated with  
331 antibiotics. Most neuroborreliosis patients in Norway have not had any EM (medical doctor  
332 and neuroborreliosis expert Bjørn Barstad, pers. comm.). Similarly, in the Netherlands, the  
333 genospecies *B. bavariensis* (formerly grouped with *B. garinii*) was found to be rare in EM  
334 patients compared to *B. burgdorferi* s.s., which may explain the high prevalence of *B.*  
335 *bavariensis* in neuroborreliosis patients despite it being a rare pathogen in questing ticks due  
336 to the restricted reservoir linked to hedgehogs (*Erinaceus europaeus*) [15]. Hence, also *B.*  
337 *bavariensis* can in areas with hedgehogs be expected to be disproportionally represented in  
338 neuroborreliosis patients in way similar to *B. garinii*. In Norway, *B. bavariensis* has not yet  
339 been recorded, probably due to the rarity of hedgehogs. Also in *B. burgdorferi* s.s., different

340 clinical symptoms have been found in different geographic regions in the USA due to strain  
341 variation [19]. In some studies, *B. afzelii* was found in the cerebrospinal fluid, although  
342 always in a much lower proportion than *B. garinii* [57-59]. This may nevertheless reflect the  
343 role of strain variation within genospecies [60] and that *B. afzelii* may be more pathogenic in  
344 some areas of Europe. The clinical spectrum of Lyme borreliosis in relation to genospecies  
345 [21] and strains is still based on rather few studies. The European Centre for Disease  
346 Prevention and Control now from 2019 include Lyme neuroborreliosis under EU  
347 epidemiological surveillance, with a uniform EU case definition [61], but this will not enable  
348 capturing disease burden from other genospecies.

#### 349 **(b) Bird populations and Lyme borreliosis emergence**

350 We still have a limited understanding of the relative role of climate and the abundance of  
351 different host groups on the current emergence of Lyme borreliosis. A systematic review  
352 including 66 studies found that a few host species dominate the transmission of Lyme  
353 borreliosis in Europe [8], with the most widespread host groups being rodents, thrushes  
354 (*Turdus* spp.) and deer. Deer are important, because they are reproduction hosts to adult ticks,  
355 and high deer population densities have been associated with increased tick abundance  
356 [62,63]. For Norway, we have previously shown a link between the deer density and increased  
357 Lyme borreliosis incidence [26]. Rodents and thrushes have a dual role, being both important  
358 larval tick hosts and reservoirs for pathogens. The bird hazard was calculated as a mean  
359 annual variable and had a weak, but significant impact on incidence. The spatial scale of  
360 ecological processes can be important for estimation processes. A weakness of our study is  
361 the lack of spatially explicit information on bird numbers. The bird hazard was calculated as a  
362 mean annual variable and had a weak, but significant impact on incidence. In our case, the  
363 variance in the spatial incidence of Lyme neuroborreliosis was ~7.9 times higher than the  
364 variance of the mean annual incidence, which may explain the weaker effect sizes of both the

365 NAO and the annual bird variation. The increased spatial resolution of bird numbers is  
366 currently unavailable, but it may be important for assessing the relative importance compared  
367 to other host groups, such as deer density.

368 Identifying the role of specific vertebrate host groups is critical for disease risk  
369 assessment and management [15]. We found quantitative evidence for the role of annual  
370 variation in bird numbers linked to the annual variation in neuroborreliosis cases. Most  
371 markedly, we found a huge overrepresentation of Lyme neuroborreliosis cases among  
372 disseminated Lyme borreliosis patients in Norway relative to the predicted hazard from *B.*  
373 *garinii* in questing ticks. To further improve our understanding of the complex epidemiology  
374 of Lyme borreliosis, we not only need a better understanding of how disease hazard depends  
375 on the genospecies but also how human immune responses and the likelihood of getting  
376 treatment contribute to the incidence of reported cases of disseminated Lyme borreliosis.

### 377 **Ethics**

378 This paper only contain data from databases gathered for other purposes.

### 379 **Data accessibility**

380 The data is available in Dryad.

381 <https://datadryad.org/review?doi=doi:10.5061/dryad.114dg70>

382 .

### 383 **Authors' contributions**

384 A.M. initiated the study. A.M., D.J.A.H. and E.M. developed the bird hazard index and  
385 discussed initial design. A.M. did the literature survey and made Fig. 1 and 2. H.V. did the  
386 statistical analyses and made Fig. 3. A.L.G provided bird data and knowhow. S.J. provided  
387 the Lyme borreliosis data and knowhow on neuroborreliosis and diagnostic developments.  
388 A.M. drafted the manuscript with notable input from D.J.A.H., E.M., S.J. and H.V. All  
389 authors edited and approved the final manuscript.



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397 **Competing interests**

398 Authors declare that they have no competing interests.

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406 **Footnotes**

407 Electronic supplementary material is available online at \*\*\*\*.

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620  
621

622 **Table 1.** Parameter estimates for analysis (GLMM with negative binomial family) of the  
623 number of cases of neuroborreliosis in Norway from 1995-2017. Population size was included  
624 as an offset variable. Random effect variances of 204 municipalities nested in 14 counties  
625 were 0.15 and 0.09, respectively. Variables were scaled to mean 0 and variance 1. Sqrt =  
626 square root. NAO=North Atlantic Oscillation index. DJF=December, January and February.

Parameter	Estimate	SE	Z	P	$\Delta AIC_c$
Intercept	-10.273	0.178	-57.83	< 0.001	
Log (spatial deer density + 0.1)	0.351	0.063	5.60	< 0.001	26.9
Bird <i>B. garinii</i> hazard (lag 1 yr)	0.066	0.028	2.38	0.018	3.6
Region (South vs. other)	0.099	0.252	0.39	0.695	40.6
Region (East vs. other)	-1.177	0.270	-4.36	< 0.001	11.9
year	0.460	0.044	10.36	< 0.001	126.1
I (year <sup>2</sup> )	-0.240	0.043	-5.57	< 0.001	29.6
Sqrt (distance to coast)	-0.387	0.093	-4.15	< 0.001	15.1
Sqrt (prop. human settlement)	-0.533	0.060	-8.85	< 0.001	58.0
Sqrt (agricultural area)	-0.308	0.073	-4.24	< 0.001	15.6
area > 200 m a.s.l.	-0.314	0.086	-3.66	< 0.001	11.0
spatial dependency (lag 1 yr)	0.133	0.071	1.87	0.062	1.5
NAO – DJF (lag 1 yr)	0.107	0.026	4.12	< 0.001	15.4
year:Region (South vs. other)	-0.339	0.056	-6.03	< 0.001	41.6
I (year <sup>2</sup> ):Region (South vs. other)	0.267	0.059	4.55	< 0.001	18.8

627

628 **Figure captions**

629

630 **Figure 1.** A conceptual overview linking the different genospecies of *B. burgdorferi* s.l. to the  
631 most common clinical manifestations. This may potentially affect reporting on Lyme  
632 borreliosis statistics relying on disseminated infection. While *B. afzelii* is the most common  
633 pathogen due to a small mammal reservoir, it is a pathogen less likely to yield disseminated  
634 infection than *B. garinii*.

635

636 **Figure 2.** Lyme disease incidence per 100000 inhabitants at the scale of municipality across  
637 the whole of Norway. (A) The cases of neuroborreliosis relative to other diagnosis (summed  
638 at county scale) among the cases of disseminated infection in humans. (B) The proportion of  
639 *B. garinii* in questing nymphs (from ESM table S3). Note the higher proportion of  
640 neuroborreliosis in humans than proportion of *B. garinii* in questing nymphs.

641

642 **Figure 3.** The effect sizes of different covariates explaining spatial and temporal variation in  
643 the incidence of neuroborreliosis. Bird species are the number of migratory birds incoming to  
644 southern Norway and are restricted to those species known to infect larval ticks with *B.*  
645 *garinii*. The bird numbers were lagged one year relative to the disease cases, as engorged  
646 larvae on birds are expected to become a hazard to humans as questing nymphs the year after  
647 their first feeding. The incidence rate ratio (given by the  $\exp(\text{estimate})$  in Table 1) is the factor  
648 by which the incidence is changing by an increase of 1 SD (variables are standardized) in the  
649 respective variable, while the other variables are kept unchanged.

650







