

1 ASSOCIATIONS BETWEEN MATERNAL DEPRESSIVE SYMPTOMS AND RISK FOR
2 OFFSPRING EARLY-LIFE PSYCHOPATHOLOGY: THE ROLE OF GENETIC AND
3 NON-GENETIC MECHANISMS

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21 Running head: Maternal depression and offspring psychopathology

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28 ABSTRACT

29 **Background:** Although maternal depressive symptoms are robustly associated with offspring
30 early-life psychopathology symptoms, it is not clear which potential mechanisms are at play.

31 We aimed to estimate the relative importance of genetic transmission and direct
32 environmental exposure in these associations at three occasions in early childhood.

33 **Methods:** Biometric modeling of maternal sisters and their offspring from the Norwegian
34 Mother and Child Cohort Study. The analyzed sample comprised 22,316 mothers and 35,589
35 offspring. Mothers reported their own depressive symptoms using the Symptom checklist, and
36 offspring's concurrent symptoms of psychopathology using the Child Behavior Checklist at
37 1.5, 3, and 5 years postpartum.

38 **Results:** Associations between maternal symptoms of depression and offspring emotional
39 problems were predominantly explained by passive genetic transmission at 1.5 and 3 years
40 postpartum. At age 5, associations were more due to direct environmental exposure. For
41 offspring behavioral problems, there was no net increase in the importance of direct
42 environmental exposure across occasions.

43 **Conclusions:** Associations between maternal depressive symptoms and offspring
44 psychopathology symptoms remained after accounting for shared genes, consistent with a
45 small, causal effect. For offspring emotional problems, this effect appeared to increase in
46 importance over time. Our findings imply that treatment of maternal depressive symptoms
47 could also benefit the offspring, and that genetic confounding should be considered in future
48 studies of such mother-offspring associations.

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53 INTRODUCTION

54 Children of mothers with depressive symptoms appear to have more emotional and behavioral
55 problems, with meta-study correlation estimates of 0.23 and 0.21, respectively (Goodman *et*
56 *al.*, 2011, Netsi *et al.*, 2018). Such associations are often interpreted to be causal, in that the
57 child is assumed to be exposed to the mother's symptoms via social mechanisms, such as
58 withdrawn or harsh parenting (Lovejoy *et al.*, 2000), modeling processes (Cummings and
59 Davies, 1994) or disrupted attachment (Cummings and Davies, 1994). Exposure to maternal
60 symptoms can also happen during pregnancy. The fetal programming hypothesis, which has
61 found support from both human (e.g. Davis *et al.*, 2007) and animal research (e.g. Golub *et*
62 *al.*, 2016), posits that physiological consequences of maternal prenatal depressive symptoms
63 can directly impact the development of the fetus, manifesting later as emotional or behavioral
64 problems. However, adult depression (Sullivan *et al.*, 2000) and child emotional (Rice *et al.*,
65 2002) and behavioral problems (Young *et al.*, 2000) are heritable, and parents and children
66 share both their environment and half of their genetic material. If there is an overlap in genes
67 influencing risk for maternal depressive symptoms and child mental health problems, then at
68 least part of the mother-child association can be attributed to genetic confounding.

69

70 Traditional observational designs cannot account for genetic confounding in mother-offspring
71 associations, but genetically informative designs such as the sibling, adoption, and Children-
72 of-Twins (CoT) design can isolate the potential, remaining environmental effect. Overall,
73 there is evidence for a remaining environmental effect of maternal depressive symptoms on
74 child emotional and behavioral problems (Gjerde *et al.*, 2017, Kendler *et al.*, 2018, Natsuaki
75 *et al.*, 2014), but when exposure happens during pregnancy, the association appears to be
76 confounded by genes shared between mother and child (Gjerde *et al.*, 2017, Hannigan *et al.*,

77 2018), leaving the fetal programming hypothesis less likely to explain mother-child
78 associations.
79
80 Early childhood is a sensitive period in life, characterized by rapid changes and numerous
81 developmental milestones. A previous sibling comparison study conducted by our group
82 indicated that the environmental effect might become more important as the children grow
83 older (Gjerde *et al.*, 2017). For the purpose of understanding the nature of parent-offspring
84 associations, the CoT design may be the most optimal, as this method can quantify the
85 importance of passive genetic transmission versus environmental exposure by modeling
86 separate genetic and environmental routes that account for intergenerational covariation
87 (McAdams *et al.*, 2018). The genetic route is due to *passive genetic transmission* – i.e. that
88 mothers have passed on risk genes which explain variance both in the maternal and offspring
89 phenotype. The environmental route of transmission is interpreted to be due to exposure to
90 symptoms through various types of behavior, and can be referred to as *direct environmental*
91 *exposure* (Silberg *et al.*, 2010). To date, no CoT studies have investigated early childhood.
92 We therefore lack knowledge on the nature of intergenerational associations between maternal
93 depressive symptoms and offspring’s emotional and behavioral problems during this
94 developmentally important period.

95
96 The first aim of the current investigation was to estimate the relative importance of passive
97 genetic and/or direct environmental exposure for the association between maternal depressive
98 symptoms and offspring concurrent emotional and behavioral problems at three
99 developmental periods (age 1.5, 3, and 5 years). Second, we aimed to clarify whether direct
100 environmental exposure becomes more important for the mother-offspring association across
101 these developmental periods.

102

103 METHODS

104 Participants

105 The present study is part of the Norwegian Mother and Child Cohort Study (MoBa),
106 conducted by the Norwegian Institute of Public Health (NIPH). MoBa is a prospective,
107 ongoing, pregnancy cohort study (Magnus *et al.*, 2016). Participants were recruited from 1999
108 to 2008 at a routine ultrasound examination offered to all pregnant women in Norway at
109 gestational week 17-18. The total sample includes >114,500 children, >95,000 mothers and
110 >75,000 fathers. In total, 41% of eligible women participated. The current study is based on
111 the Intergeneration Transmission of Risk (ITOR) subproject, in which kinship between
112 participants in the parent and child generation has been identified through linkage with the
113 Norwegian Twin Registry (NTR) (Nilsen *et al.*, 2013) and population data from Statistics
114 Norway. Twin zygosity was determined using questionnaire items and logistic regression (see
115 eAppendix1). The current sample comprised maternal twins/sisters and their offspring. One
116 study unit consists of up to 6 individuals: a mother and her twin/sister, as well as up to two
117 children per mother. In the mother generation, the sample consisted of 22,316 individuals: 89
118 monozygotic (MZ) and 52 dizygotic (DZ) twin pairs, 5,262 full sibling pairs (FS), 169
119 maternal half sibling pairs (MHS), 230 paternal half sibling pairs (PHS), and 16,514 were
120 singletons. In the child generation, the sample comprised 35,589 individuals: 370 MZ and
121 1,229 DZ twin pairs, 11,546 FS and 128 MHS pairs, and 22,316 singletons.

122

123 Version 9 of the quality-assured MoBa data files were used, released in 2015. Written
124 informed consent was obtained from all participants upon recruitment. The establishment and
125 data collection in MoBa was previously based on a license from the Norwegian Data
126 protection agency and approval from The Regional Committee for Medical Research Ethics,

127 and it is now based on regulations related to the Norwegian Health Registry Act. The current
128 study was approved by The Regional Committee for Medical Research Ethics. In the current
129 study we use information obtained at 1.5, 3, and 5 years after birth.

130

131 Measures

132 Symptoms of maternal depression were assessed by self-report at 1.5, 3, and 5 years after
133 birth, using the eight item version (Tambs and Røysamb, 2014) of the short form of the
134 Symptom Checklist (SCL; Hesbacher *et al.*, 1980), originally designed to measure symptoms
135 of depression and anxiety. The mothers answered to what extent the eight statements,
136 covering the last two weeks, were true on a 1 (“not bothered”) to 4 (“very bothered”) scale.
137 We created composite scores of the scale items for each of the three time points (ordinal
138 Cronbach’s alphas (Gadermann *et al.*, 2012) = 0.92, 0.94, and 0.93, respectively). The SCL-8
139 correlate highly with the SCL depression dimension (Tambs and Røysamb, 2014), and a five
140 item version of this scale has been found to have a genetic correlation close to unity with
141 mood disorders measured by the Composite International Diagnostic Interview (Gjerde *et al.*,
142 2011). The SCL-8 is therefore suitable for capturing genetic risk for depression.

143

144 Emotional and behavioral problems were measured using items from the Child Behavior
145 Checklist (CBCL) for preschool children (Achenbach, 1992). In the questionnaires covering
146 age 1.5, 3, and 5 years after birth, there are in total 13 items covering emotional problems, and
147 11 covering behavioral problems. For each item, mothers reported agreement on a 3-point
148 Likert scale: 1 = “not true”, 2 = “somewhat true”, 3 = “very true or often true”. We created
149 composite scores for emotional and behavioral problems separately at all three occasions.
150 Ordinal Cronbach’s alphas (Gadermann *et al.*, 2012) were 0.65, 0.69, and 0.74 for emotional
151 problems, and 0.70, 0.77, and 0.81 for behavioral problems, respectively. Correlations

152 between the short scales at 1.5, 3, and 5 years and the full CBCL scale for emotional problems
153 measured when the children were 6 years old have been found to be 0.71, 0.79, and 0.87,
154 respectively (Helland *et al.*, 2017).

155

156 Statistical analyses

157 The Multiple-Children-of-Twins-and-Siblings (MCoTS) is an extension of the CoT design
158 (McAdams *et al.*, 2014), where multiple children per mother is included. In addition to twin
159 sisters in the mother generation, sisters and half-sisters are also included. Both the CoT and
160 MCoTS designs are extensions of the classical twin design (Jinks and Fulker, 1970), in which
161 structural equation modeling is used to divide individual differences in a trait into genetic and
162 environmental sources. Typically, three sources of variance are specified. Additive genetic
163 variance (A) reflect the average influence of each allele on a trait, and would tend to make
164 MZ twin pairs correlate twice as high as DZ twin pairs, and full siblings twice as much as half
165 siblings. Shared environmental variance (C) reflect all environmental influences that make
166 pairs of relatives more similar to each other (such as socioeconomic status). Unique
167 environmental variance (E) reflect environmental influences that make relatives more
168 different from each other. This component also includes potential measurement error. The
169 importance of each of these sources of variance is usually expressed as a percentage of the
170 total variance in a trait, and is determined by comparing correlations between different types
171 of relatives. For instance, if monozygotic twin sisters (who share all their genetic material,
172 and also all of their family environment) are on average more similar to each other than
173 dizygotic twin sisters (who share on average 50% of their segregating genes, and also all
174 family environment) then this greater similarity can only be explained by the monozygotic
175 sisters sharing more of their genes. The CoT and MCoTS designs (described elsewhere

176 (McAdams *et al.*, 2018)), extend this logic to decompose variance in traits in both the mother
177 and offspring generation.

178

179 The models can be used to divide variance in the parental exposure into additive genetic (A1),
180 shared environmental (C1), and unique environmental (E1) components (Figure 1 and Figure
181 2a). As each mother can have up to two offspring in these models, any differences between
182 those pregnancies are captured by the E1 parameter. Included in the model is also a freely
183 estimated within-parent correlation parameter; rE_{wp} . This parameter will be estimated >0 if
184 the phenotype correlates more strongly within the parent than between the parent and her twin
185 or sibling.

186

187 Variance in the child outcomes can be separated into A2, C2 and E2 components (Figure 1
188 and 2a), that are not shared with the mother. To investigate mechanisms of transmission of
189 risk, the covariance between the maternal and child phenotype is decomposed into a direct
190 environmental exposure path (p) indexing direct environmental exposure, and a genetic path
191 (A1'), indexing genetic transmission (Figure 1). The importance of the intergenerational
192 parameters can be determined by looking at avuncular correlations, namely the correlations
193 between aunts and their nephews or nieces. Offspring of MZ twins will share as much genetic
194 material with their aunt as with their mother, whereas offspring of DZ twins will share 25% of
195 their genetic material with their aunt. Further, it is assumed that offspring and their aunts
196 share none of the family environment (C). Hence, if offspring resembles their mothers more
197 than their aunts, this has to be due to environmental influences, and hence the p path must be
198 >0 . Likewise, if the offspring is correlated >0 with their aunt, genetic influences must play a
199 role in this covariation and $A1' >0$. The genetic route of transmission is calculated by dividing
200 the joint influence from all the genetic paths ($a1 * 0.5 * a1'$) on the phenotypic correlation

201 between the mother and child phenotypes. The remaining part is accounted for by behavioral
202 exposure (p).

203

204 Model fitting involves constructing one or several models that attempts to describe the data as
205 closely but also as parsimonious as possible. A model can be simplified by dropping one or
206 more parameters. The simpler model is often preferred if it does not fit the data significantly
207 worse than the model where the parameter was retained.

208

209 We ran six MCoTS models on maternal depressive symptoms and concurrent child emotional
210 and behavioral outcomes (two outcomes, each at three occasions). In addition, each of the six
211 models included two nested submodels in which 1) the genetic transmission ($A1'$), or 2) direct
212 environmental exposure (p) was dropped. The fit of these models were compared to the full
213 model. We did not estimate the influence of shared environment (C) in the parent or child
214 generation as separating genetic influences (A) from shared environmental influences (C)
215 reliably in these models requires very large sample sizes. Also, the focus of this study was the
216 intergenerational mode of transmission, rather than how much of the variance in the parent
217 and child traits were explained by genetic versus environmental influences. We have included
218 supplementary sensitivity analyses (Table S1 and Figure S1) where C was included to
219 investigate whether the exclusion of C could have affected the estimates of $A1'$ and p (the
220 conclusions drawn from these models remained unchanged whether or not C was estimated).
221 All models were fitted using full information maximum likelihood applied to raw data and
222 compared using the chi-square distribution of the $-2 \log$ likelihood model fit statistic and
223 Akaike's Information Criterion (AIC; Akaike, 1987). Child sex and maternal age were
224 included as covariates.

225

226 To investigate whether the importance of direct environmental exposure changed over time,
227 we fixed the p parameter post hoc to be identical to the estimate in the previous model, and
228 checked for significant deterioration in fit. The full model at the same age period (with a
229 freely estimated p parameter) was the reference for comparison. The modeling procedures
230 were conducted in R, using the open source package OpenMx v2.3.1 (Neale *et al.*, 2016).

231

232 Results

233 Correlations were 0.17, 0.20, and 0.22 between maternal depressive symptoms and concurrent
234 child emotional problems when children were 1.5, 3, and 5 years old, and 0.18, 0.17, and 0.21
235 for behavioral problems, respectively. The parameters for the two child outcomes are
236 presented in a path diagram for a single mother-child dyad in Figure 2a, along with the
237 parameter estimates from the best fitting models in Figure 2b.

238

239 For both outcomes at all three occasions, we found that neither the genetic nor the direct
240 environmental route of transmission could be dropped without significant deterioration in
241 model fit (Table 1). The best fitting model was therefore always the full model. For emotional
242 problems, passive genetic transmission dominated when the children were 1.5 and 3 years old,
243 explaining 69% and 62% of the correlations between the mother and child phenotypes,
244 respectively. In terms of effect sizes, this means that of the total variance in child emotional
245 problems, passive genetic transmission explained 21.1% at age 1.5 and 28.5% at age 3,
246 whereas exposure to maternal symptoms through the direct transmission path (p) explained
247 only 0.3% at age 1.5 and 0.6% at age 3. At age 5, however, the pattern appeared to change in
248 favor of direct environmental exposure, accounting for 67% of the correlation (but only 2.2%
249 of the variation in child emotional problems). For the second outcome, child behavioral
250 problems, the association between mother and child was equally attributable to genetic

251 transmission (51%) and direct environmental exposure (49%) at age 1.5 years (effect sizes on
252 child phenotype were 14.2% and 0.8%, respectively). At age 3, the genetic transmission
253 accounted for 63% of the total correlation, and direct environmental exposure explained 37%
254 (29.3% and 0.4% of the variation in the child phenotype, respectively). At age 5, the genetic
255 transmission explained 46% of the total correlation, and the direct exposure path 54% (19.7%
256 and 1.3% of the child phenotype, respectively). The effect sizes, or the extent to which genetic
257 and environmental influences included in the best fitting models could explain variance in the
258 child outcomes is summarized in Table S2, whereas the relative importance of genetic
259 transmission versus direct environmental exposure for explaining the total correlation
260 between mother and offspring is indexed in Figure 3.

261

262 To clarify whether direct environmental exposure becomes more important as the children
263 grow older, we fixed the p path to be the same value as it was estimated to be at a previous
264 developmental period. The reference models were the full models at age 3 and 5, of which fit
265 statistics are presented in Table 1. For emotional problems, the direct transmission (i.e. p
266 path) was not significantly different at child age 1.5 (0.06, 95% CI: 0.04, 0.08) and 3 years
267 (0.08 [0.05, 0.10]; $\Delta\chi^2 = 2.25$, $\Delta df = 1$, $\Delta AIC = 0.25$). However, there was a significant
268 deterioration in fit when fixing the p at age 5 (0.15 [0.11, 0.19]) to the same value as at age
269 1.5 ($\Delta\chi^2 = 27.3$, $\Delta df = 1$, $\Delta AIC = 25.3$) and at age 3 ($\Delta\chi^2 = 16.99$, $\Delta df = 1$, $\Delta AIC = 14.99$).
270 The direct transmission of risk was therefore stronger at age 5 compared to age 1.5 and 3.
271 Likewise, for behavioral problems, fixing the p path at age 3 (0.06 [0.04, 0.09]) to the same
272 value as at age 1.5 (0.09 [0.07, 0.11]) did not result in a significantly worse fit ($\Delta\chi^2 = 3.5$, Δdf
273 $= 1$, $\Delta AIC = 1.47$). Nor did fixing the p path at age 5 (0.11 [0.08, 0.15]) to the same estimate
274 as at age 1.5 ($\Delta\chi^2 = 2.64$, $\Delta df = 1$, $\Delta AIC = 0.64$). There was, however, a difference in the
275 importance of the p path from age 3 to age 5 ($\Delta\chi^2 = 9.19$, $\Delta df = 1$, $\Delta AIC = 7.19$). Overall, the

276 evidence for developmental change was therefore less compelling for behavioral than for
277 emotional problems.

278

279 Discussion

280 The main goal of our study was to investigate mechanisms underlying the association between
281 maternal depressive symptoms and early life offspring psychopathology. We found that
282 children of mothers with more depressive symptoms are at increased risk for emotional and
283 behavioral symptoms both through a shared genetic liability with their mothers, and through
284 direct environmental exposure. However, the relative importance of each was not the same
285 across the two outcomes, nor were they always the same at different ages.

286

287 Many studies have found associations between maternal depression and psychopathology in
288 offspring (Goodman *et al.*, 2011, Netsi *et al.*, 2018), but few have utilized designs that can
289 parcel out and quantify the importance of familial confounding from these associations
290 (Kendler *et al.*, 2018, McAdams *et al.*, 2015, Silberg *et al.*, 2010, Singh *et al.*, 2011). Using
291 an extended version of the CoT design (McAdams *et al.*, 2018), applied to data at three age
292 periods, our study is the first to quantify the contribution from two different routes of
293 transmission of risk from maternal depressive symptoms to offspring concurrent early life
294 psychopathology.

295

296 For emotional problems, the association with maternal depressive symptoms was primarily
297 explained by genetic transmission at age 1.5 and 3, but by age 5, direct environmental
298 exposure explained two thirds of the association. That the mother-child association was
299 environmental, and not merely a consequence of familial confounding stemming from shared
300 genes is in line with the finding from a previous paper by our group that utilized a sibling

301 comparison approach (Gjerde *et al.*, 2017), and also with previous CoT studies (McAdams *et*
302 *al.*, 2015, Silberg *et al.*, 2010, Singh *et al.*, 2011). Although our study cannot conclude why
303 direct environmental exposure appears to become more important over time, we can think of
304 several possible explanations. As children grow older and their ability to think about other
305 people's thoughts and feelings (theory of mind) becomes more sophisticated (Wellman *et al.*,
306 2001), they may become more affected by their mother's depressive symptoms. Five year olds
307 may also demand a different type of attention, which could be disturbed by depressive
308 symptoms. Further, five year olds have been exposed to their mother's depressive symptoms
309 longer. Research on cumulative exposure suggest that the persistency of maternal depressive
310 symptoms is associated with increased risk for psychopathology (Netsi *et al.*, 2018). Although
311 we have modeled the hypothesis that the effect goes from the mother to the offspring, we
312 cannot exclude the possibility that the association is child driven; i.e. that an emotional child
313 could elicit depressive symptoms in a mother.

314

315 For behavioral problems, there was no net increase in the importance of direct environmental
316 exposure across occasions, and the importance of each source of intergenerational association
317 was more similar than for emotional problems. Previous CoT studies of externalizing
318 outcomes on teenage children of depressed mothers also present a mixed picture. One study
319 finds evidence for both routes of transmission, a second finds evidence for intergenerationally
320 shared genetic factors (Singh *et al.*, 2011) and a third for direct environmental exposure
321 (McAdams *et al.*, 2015). Overall, ours and previous findings indicate the importance of both
322 family environment and genetic transmission in understanding the links between maternal
323 depression and offspring behavior problems.

324

325 The U.S. Preventive Task Force have recommended screening for depression in all pregnant
326 women (Siu and USPST, 2016). However, as indicated by the present study and others
327 (Gjerde *et al.*, 2017, Netsi *et al.*, 2018), depression in mothers when children are in preschool
328 age can negatively affect children's mental health. It is therefore reasonable to discuss
329 whether this recommendation should be extended to also include screening of mothers in the
330 first few years after pregnancy, as suggested in a recent editorial in JAMA Psychiatry
331 (Weissman, 2018).

332

333 Some limitations need mentioning. First, shared method variance (mothers reporting on both
334 their own and their children's symptoms) may have artificially increased associations between
335 symptoms in mothers and their children. A meta-analysis found that A meta-analysis found
336 that the discrepancy between the mother-offspring correlations when teachers were the raters
337 of the child outcomes versus when the mothers were the raters was 0.1 for internalizing
338 problems and 0.09 for externalizing problems, or 40% for both outcomes (Goodman *et al.*,
339 2011). To date, true effects cannot be disentangled from the effect of shared method variance
340 in MoBa, but efforts are being made to collect data to do so in the future. We can therefore
341 only speculate on what consequences the findings from this meta-analysis may have for the
342 estimates presented in the present study. Four possible scenarios stand out: 1) If the difference
343 in correlations between maternal symptoms and child symptoms between teachers and
344 mothers is entirely due to depressed mothers overrating their children's symptoms because of
345 the depression, we would expect that the bias will go into the estimate of direct environmental
346 transmission (p). Our estimate of p would under this scenario be artificially high. 2) It is also
347 possible that the discrepancy between the teacher rating and mother rating is due to a rating
348 bias tendency that is inherent in mothers' personality. If this tendency is not heritable, the bias
349 would also upwardly bias the estimate of the p parameter. 3) However, if this rating bias

350 tendency is heritable, as almost all human behavioral traits are (Polderman *et al.*, 2015), this
351 will upwardly bias the estimate of the passive genetic transmission ($a1'$). 4) The bias could
352 also come from the child's behavior. Having a depressed mother could make the child act
353 differently at home, but not at school. A scenario like this would also imply that our p
354 estimate is too high. On the positive side, an alternative explanation to these scenarios is that
355 the discrepancy in ratings could simply be due to the fact that mothers see their children in
356 more settings, making their assessments of their children more valid than that of the teachers.
357 Second, we have made the assumption that the environmental mode of transmission moves
358 from mothers to their children. However, it is not implausible that the transmission moves
359 both ways, or that it is offspring behavior that influences maternal symptoms. For instance, it
360 is easy to imagine that fussy or difficult children could induce feelings of hopelessness or
361 other depressive symptoms in their parents. Third, due to limited statistical power, we could
362 not investigate potential sex differences in mechanisms of transmission of risk. However,
363 such differences are not unlikely, as there are evidence that boys and girls differ in their
364 susceptibility to negative consequences of maternal depressive symptoms, both when the
365 exposure happen prenatally (Sandman *et al.*, 2013) and postnatally (McGinnis *et al.*, 2015,
366 Quarini *et al.*, 2016). Fourth, it is possible that the associations between maternal depressive
367 symptoms and child emotional and behavioral problems could be partly explained by various
368 prenatal influences, such as maternal prenatal depressive symptoms (Barker *et al.*, 2011, Kerr
369 *et al.*, 2013) or obstetric complications (Kerr *et al.*, 2013). However, associations between
370 prenatal maternal depressive symptoms and child emotional and behavioral problems are
371 found to be genetically confounded (Gjerde *et al.*, 2018, Hannigan *et al.*, 2018), and the
372 genetics of depressive symptoms is rather stable (Nes *et al.*, 2007). We can therefore assume
373 that most of the potential prenatal influence is controlled for by the postnatal depressive
374 symptoms included in the present study. However, future studies should strive to include

375 prenatal factors such as obstetric complications as potential confounders. Fifth, the significant
376 attrition in MoBa could have yielded a sample in which severely depressed mothers are
377 under-represented. The possibility therefore remains that mechanisms of transmission are
378 qualitatively different in severely depressed mothers, and this should be tested in clinical
379 samples.

380

381 Conclusion

382 Children of mothers with depressive symptoms have more early life psychopathology
383 symptoms than can be expected from passive genetic transmission alone. Although our results
384 suggest that treating depressive symptoms in mothers could decrease their children's risk for
385 developing symptoms of psychopathology, the non-negligible genetic transmission implies
386 that children of mothers with depressive symptoms would also be at risk even if they had
387 grown up in an environment free of depressive symptoms.

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414 Declaration of interests

415 The authors declare no conflicts of interests.

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550 Table 1

551 Model fit statistics for each age within the two outcomes

552	553	554	555	556	557	558	559	560	561	562	563	564	565	566	567	568	569	570	571	572	573	574	575	576	577
	Model	-2LL	ep	AIC	df	ΔLL	p																		
	1. Emotional problems 1.5 years																								
	1.1 Full model	134066.65	21	36590.65	48738	-	-																		
	1.2 Direct transmission only	134158.42	20	36680.42	48739	91.77	<0.001																		
	1.3 Genetic transmission only	134093.32	20	36615.32	48739	26.67	<0.001																		
	2. Emotional problems 3 years																								
	2.1 Full model	110113.29	21	30121.29	39996	-	-																		
	2.2 Direct transmission only	110219.19	20	30225.19	39997	105.90	<0.001																		
	2.3 Genetic transmission only	110150.93	20	30156.93	39997	37.65	<0.001																		
	3. Emotional problems 5 years																								
	3.1 Full model	79187.48	21	21847.48	28670	-	-																		
	3.2 Direct transmission only	79199.86	20	21857.86	28671	12.38	<0.001																		
	3.3 Genetic transmission only	79262.05	20	21920.05	28671	74.57	<0.001																		
	4. Behavioral problems 1.5 years																								
	4.1 Full model	136072.93	21	36676.93	49698	-	-																		
	4.2 Direct transmission only	136133.16	20	36735.16	49699	60.23	<0.001																		
	4.3 Genetic transmission only	136141.52	20	36743.52	49699	68.59	<0.001																		
	5. Behavioral problems 3 years																								
	5.1 Full model	110078.15	21	30084.15	39997	-	-																		
	5.2 Direct transmission only	110162.25	20	30166.25	39998	84.10	<0.001																		
	5.3 Genetic transmission only	110104.37	20	30108.37	39998	26.22	<0.001																		
	6. Behavioral problems 5 years																								
	6.1 Full model	79032.73	21	21692.73	28670	-	-																		
	6.2 Direct transmission only	79062.77	20	21720.77	28671	30.04	<0.001																		
	6.3 Genetic transmission only	79079.75	20	21737.75	28671	47.02	<0.001																		

578 Model comparisons for the two outcomes (emotional and behavioral problems), each at three separate age periods. For each model, the fit of the reduced version of the model,
579 where either the genetic intergenerational path, or the environmental intergenerational path is dropped, is compared to the full model where all these parameters are retained.
580 Best fitting models are shown in bold. $-2LL$ = two times the negative log likelihood – an estimate of how well the model fits the data ; ep = number of estimated parameters
581 included in the model; AIC = Akaike's Information Criterion – an indicator of how well the model fits the data that also penalizes complex models; df = degrees of freedom;
582 ΔLL = the difference in log likelihood compared to the full model; p = probability value for rejecting the null hypothesis

Figure legends

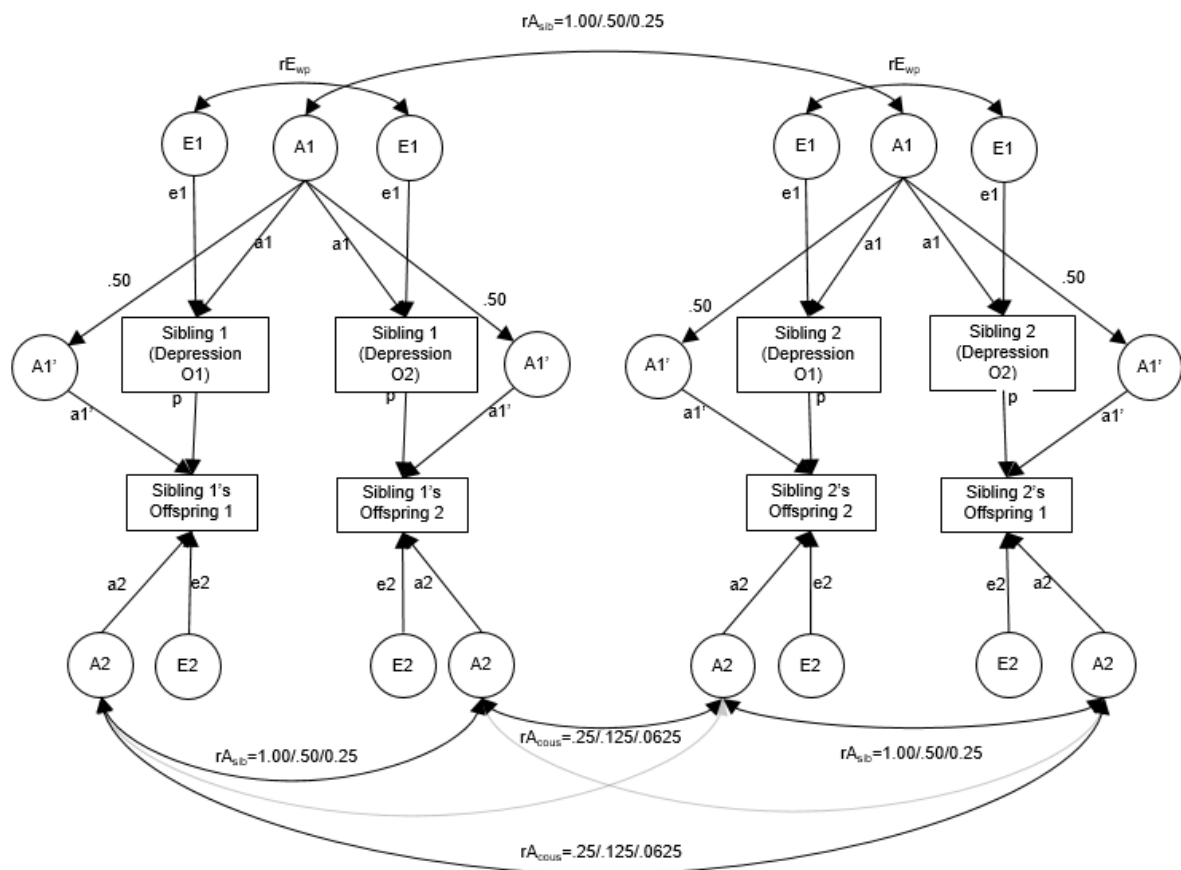
Figure 1: Path diagram of the full multiple children of twins and siblings structural equation model

Figure 2a: Parameters

Figure 2b) Estimated parameters from best fitting models

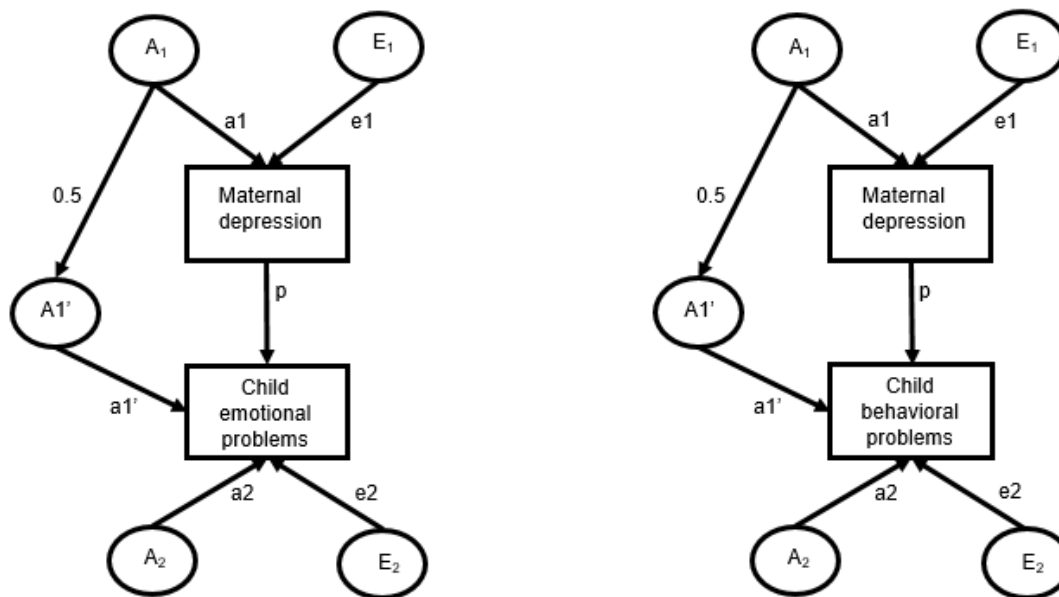
Figure 3: Correlations between mother and child explained by genetic vs direct environmental transmission

Figure 1. Path diagram of the full multiple children of twins and siblings structural equation model



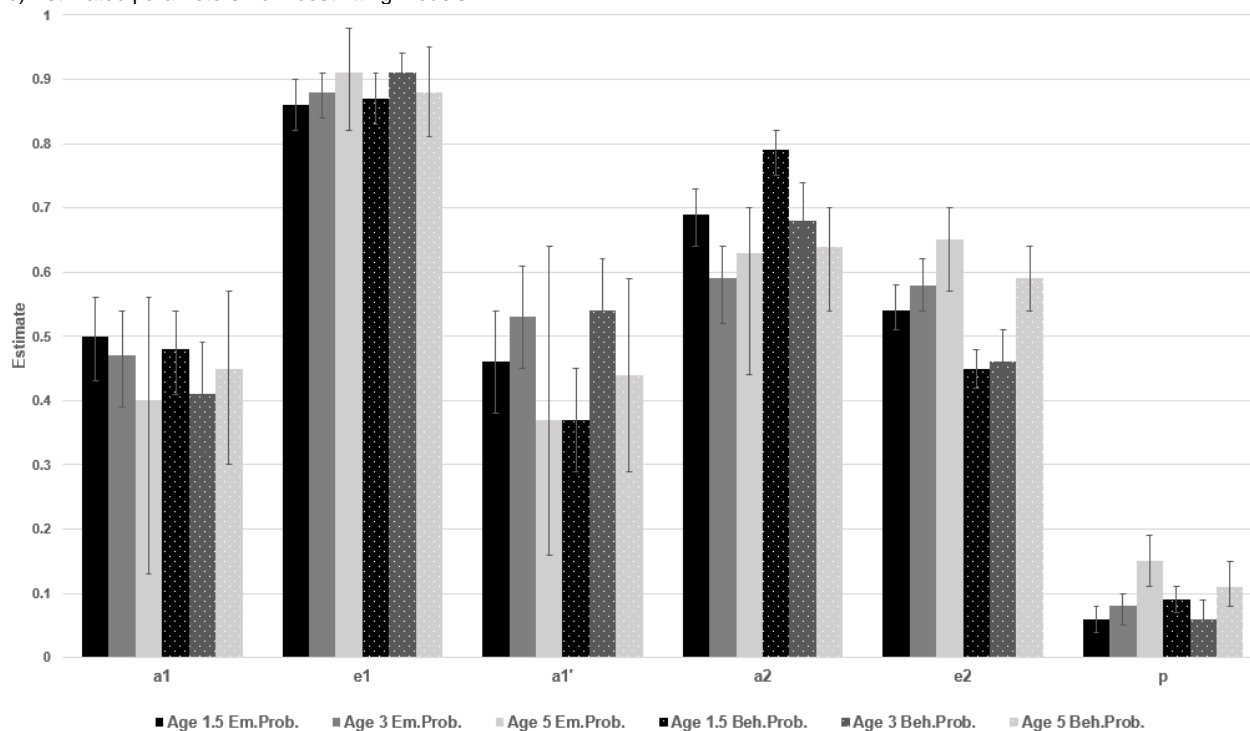
Path diagram showing the structural equation model where the traits (depressive symptoms) of a mother and her sister/twin is included in the top half, and the traits (emotional or behavioral problems) of up to two offspring per mother is included in the bottom half. rE_{wp} = within-person correlation between maternal unique environmental factors. This parameter will be estimated >0 if the phenotype correlates more strongly within the parent than between the parent and her twin or sibling; rA_{sib} = genetic correlation between mother twins/sisters/half-sisters (A1 to A1) and offspring twins/siblings (A2 to A2); rA_{cous} = genetic correlation between offspring cousins, which vary depending on offspring having mothers that are twins/sisters/half-sisters; $A1/a1$ = maternal genetic factors (A1 = variance component, $a1$ = path); $E1/e1$ = maternal unique environmental factors; $A2/a2$ = offspring specific genetic factors; $A1'/a1'$ = offspring genetic factors shared with A1. This parameter will be estimated >0 if offspring correlate >0 with their aunt, and indicate that genes influencing the parental trait also influences the offspring trait. Hence, mothers transmit risk genes to their offspring during meiosis; $E2/e2$ = offspring unique environmental factors; p = behavioral exposure path. This parameter will be estimated >0 if offspring correlate higher with their mothers than with their aunts, and indicate that social mechanisms or other environmental influences contribute to risk for offspring behavioral or emotional problems.

Figure 2. Parameters
a)



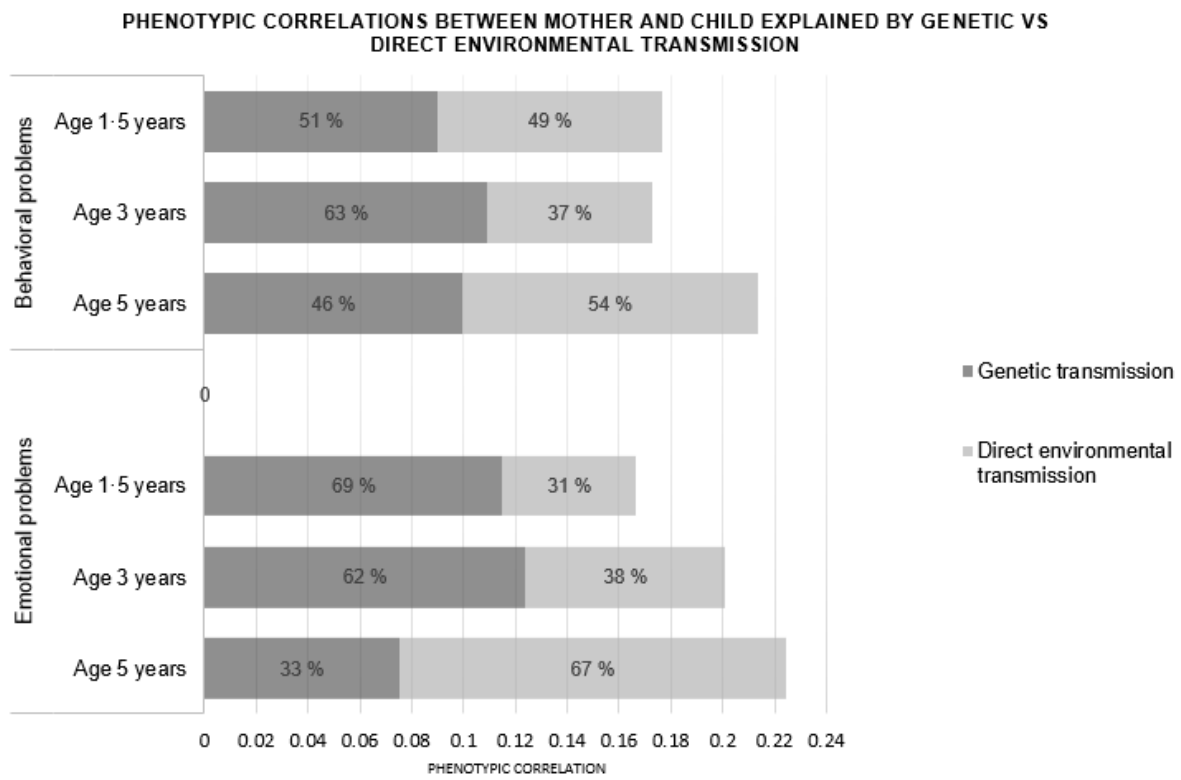
Simplified path diagram showing the main parameters to be estimated for each model. The parameters a_1 and e_1 inform on the causes of individual differences in maternal depressive symptoms, a_2 and e_2 on the causes of individual differences in child emotional and behavioral problems, and a_1' and p on the mechanisms of intergenerational transmission of risk.

b) Estimated parameters from best fitting models



Bar chart showing the magnitude of the parameter estimates with 95% confidence intervals from the best fitting models across each time-point (1.5, 3, and 5 years after birth) and phenotypes (offspring emotional and behavioral problems).

Figure 3. Correlations between mother and child explained by genetic vs direct environmental transmission



Supplementary contents

eAppendix1: Description of how zygosity was determined for MoBa participants and their children

Table S1: Path estimates for the best fitting models when C was included

Table S2: Proportions of the variance in the child phenotypes explained by the variance sources included in the best fitting models

Figure S1: Phenotypic correlations between mother and child explained by genetic vs direct environmental transmission

eAppendix1: Description of how zygosity was determined for MoBa participants and their children

We determined genetic relatedness between participants from kinship information in Statistics Norway for mothers, and from kinship information in MoBa for offspring. Zygosity (whether twins are monozygotic or dizygotic) for the parent generation was determined using linkage with the Norwegian Twin Registry, as well as questionnaire items administered by phone or mail. These questionnaire items have been shown to classify correctly more than 97% of twin pairs (Magnus *et al.*, 1983). For the offspring generation, maternal reports on zygosity were obtained using questionnaire items administered by phone or mail, and a sub-group of the same-sex offspring twins was also genotyped. A logistic regression model, regressing genotype classifications on the questionnaire items was fit to the twin pairs having both measurements. The fitted model was then used to classify the twin pairs that had not been genotyped, based on the questionnaire responses. The discrepancy between classification by questionnaire and genotyping had an expected misclassification rate of <4% in our sample.

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Table S1

Path estimates for the best fitting models when C was included

	A1	C1	E1	A2	A1'	C2	E2	p
Emotional problems age 1.5	0.50	0	0.86	0.69	0.46	0	0.54	0.06
Emotional problems age 3	0.43	0	0.89	0	0.66	0.39	0.61	0.06
Emotional problems age 5	0.40	0	0.91	0.63	0.37	0	0.65	0.15
Behavioral problems age 1.5	0.48	0	0.87	0.79	0.37	0	0.45	0.09
Behavioral problems age 3	0.41	0	0.91	0.68	0.54	0	0.46	0.06
Behavioral problems age 5	0.45	0	0.88	0.64	0.44	0	0.59	0.15

Table S2

Proportions of the variance in the child phenotypes explained by the variance sources included in the best fitting models

	Genetic		Environmental		Passive rGE (maternally transmitted genes and environment)
	Shared with maternal phenotype ($a1'^2$)	Unique to child phenotype (a^2)	Unique to child (e^2)	Direct transmission (p^2)	
Child emotional problems at age 1.5 years	21.1%	47.7%	29.5%	0.3%	1.4%
Child emotional problems at age 3 years	28.5%	34.9%	34.1%	0.6%	1.9%
Child emotional problems at age 5 years	14.0%	39.4%	42.1%	2.2%	2.2%
Child behavioural problems at age 1.5 years	14.2%	63.0%	20.5%	0.8%	1.6%
Child behavioural problems at age 3 years	29.3%	47.2%	21.7%	0.4%	1.4%
Child behavioural problems at age 5 years	19.7%	41.3%	35.4%	1.3%	2.3%

Figure S1.

Phenotypic correlations between mother and child explained by genetic vs direct environmental transmission

