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# Association of In Utero Antipsychotic Medication Exposure With Risk of Congenital Malformations in Nordic Countries and the US

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**IMPORTANCE** Psychiatric disorders are common among female individuals of reproductive age. While antipsychotic medication use is increasing, the safety of such medications in pregnancy is an area with large evidence gaps.

**OBJECTIVE** To evaluate the risk of first-trimester antipsychotic exposure with respect to congenital malformations, focusing on individual drugs and specific malformation subtypes.

**DESIGN, SETTING, AND PARTICIPANTS** This cohort study used data from nationwide health registers from the 5 Nordic countries and the US and spanned 1996 to 2018. The Nordic cohort included all pregnancies resulting in singleton live-born infants, and the US cohort consisted of publicly insured mothers linked to their live-born infants nested in the nationwide Medicaid Analytic eXtract. Data were analyzed from November 2020 to April 2022.

**EXPOSURES** One or more first-trimester dispensing of any atypical, any typical, and individual antipsychotic drugs.

MAIN OUTCOMES AND MEASURES Any major congenital malformation and specific malformation subtypes previously suggested to be associated with antipsychotic exposure in utero: cardiovascular malformations, oral clefts, neural tube defects, hip dysplasia, limb reduction defects, anorectal atresia/stenosis, gastroschisis, hydrocephalus, other specific brain anomalies, and esophageal disorders. Propensity score stratification was used to control for potential confounders. Pooled adjusted estimates were calculated using indirect standardization.

**RESULTS** A total of 6 455 324 unexposed mothers (mean maternal age range across countries: 24-31 years), 21 751 mothers exposed to atypical antipsychotic drugs (mean age range, 26-31 years), and 6371 mothers exposed to typical antipsychotic drugs (mean age range, 27-32 years) were included in the study cohort. Prevalence of any major malformation was 2.7% (95% CI, 2.7%-2.8%) in unexposed infants, 4.3% (95% CI, 4.1%-4.6%) in infants with atypical antipsychotic drug exposure, and 3.1% (95% CI, 2.7%-3.5%) in infants with typical antipsychotic drug exposure in utero. Among the most prevalent exposure-outcome combinations, adjusted relative risks (aRR) were generally close to the null. One exception was olanzapine exposure and oral cleft (aRR, 2.1 [95% CI, 1.1-4.3]); however, estimates varied across sensitivity analyses. Among moderately prevalent combinations, increased risks were observed for gastroschisis and other specific brain anomalies after atypical antipsychotic exposure (aRR, 1.5 [95% CI, 0.8-2.6] and 1.9 [95% CI, 1.1-3.0]) and for cardiac malformations after chlorprothixene exposure (aRR, 1.6 [95% CI, 1.0-2.7]). While the association direction was consistent across sensitivity analyses, confidence intervals were wide, prohibiting firm conclusions.

**CONCLUSIONS AND RELEVANCE** In this study, considering the evidence from primary and sensitivity analyses and inevitable statistical noise for very rare exposure-outcome combinations, in utero antipsychotic exposure generally was not meaningfully associated with an increased risk of malformations. The observed increased risks of oral clefts associated with olanzapine, gastroschisis, and other specific brain anomalies with atypical antipsychotics and cardiac malformations with chlorprothixene requires confirmation as evidence continues to accumulate.

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sychiatric disorders are common among female individuals of reproductive age including pregnant individuals and those in the postpartum period.<sup>1-3</sup> For female individuals with schizophrenia and bipolar disorder, antipsychotic medications are often the mainstay of treatment and the risk of recurrence of psychotic symptoms following discontinuation is high.<sup>4</sup> Consequently, many patients require continued treatment during pregnancy. Further, antipsychotic medications are increasingly being used to manage depression and anxiety in patients refractory to other treatments.<sup>5</sup> As pregnant individuals are excluded from most clinical therapeutic trials, the safety of antipsychotic medications in pregnancy is an area with large gaps in evidence.<sup>6</sup> Health care professionals often lack the necessary information for evidence-based prescribing decisions and to counsel patients about the use of specific antipsychotics during pregnancy.

Teratogenicity is typically the principal concern in pregnancy for drug classes like antipsychotics that cross the placenta.<sup>7</sup> The risk of congenital malformations following in utero exposure to antipsychotic medications has been evaluated in the context of spontaneous reporting systems, pregnancy exposure registries, case-control studies, and more recently cohort studies nested in health care utilization databases,<sup>8-25</sup> and several systematic reviews and meta-analyses exist.<sup>26-39</sup> While the results generally point toward antipsychotics not being major teratogens, findings have been conflicting and safety signals have emerged for out $comes\,such\,as\,cardiovascular\,malformations^{11,14,16,17,20,22,25,28,38}$ and oral clefts.<sup>11,14,15,18,38</sup> Given the small size of most studies, vast differences in study approach, differences in specific drugs and outcomes evaluated, and variable levels of confounding control, no coherent picture of the comparative safety of different antipsychotic medications has emerged from the literature.

The objective of this study was to evaluate the risk of firsttrimester exposure to antipsychotic medications with respect to major congenital malformations, using a uniform study design and analytic approach across 6 countries and focusing on individual drugs and specific malformation subtypes that have been suggested to be associated with antipsychotic use in pregnancy.

In this article, the terms *woman*, *mother*, and *maternal*, which describe gender, are used throughout. However, our focus is on the biological sex, so the findings here should be taken to include people who do not identify as women but are pregnant or have given birth.

## Methods

#### **Data Sources and Study Cohorts**

This study was conducted by the International Pregnancy Safety Study (InPress) Consortium, a collaboration among research groups from the 5 Nordic countries (Denmark, Finland, Iceland, Norway, and Sweden) and the US with access to high-quality health care databases and registers.<sup>40,41</sup> Use of the Nordic data was approved by applicable ethics review boards or data providing authorities (eAppendix 1 in the Supplement). Use of the US data was approved by the Brigham and Women's Hospital Institutional Review Board, which granted

## **Key Points**

**Question** Is prenatal antipsychotic exposure associated with increased risk of major congenital malformations?

**Findings** In this cohort study of more than 26 000 antipsychotic-exposed pregnancies from 6 countries, prenatal exposure to individual antipsychotics was generally not associated with an increase in risk of major congenital malformations.

Meaning Considering the evidence from both primary and sensitivity analyses, there was no indication that antipsychotics are major teratogens; the potential signals observed for oral clefts, gastroschisis, and certain brain anomalies will require confirmation as evidence continues to accumulate.

a waiver of informed consent. This report follows the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline.

The Nordic cohort included all pregnancies resulting in singleton live-born infants (4 531 396 pregnancies), with countries contributing data from different time periods depending on access to the nationwide health registers (Denmark: 1997-2017; Finland: 1996-2016; Iceland: 2004-2017; Norway: 2005-2018; Sweden: 2006-2016) (see eAppendix 1 in the Supplement for details on the Nordic cohort creation). The US cohort consisted of publicly insured mothers linked to their live-born infants nested in the nationwide Medicaid Analytic eXtract (2000-2014). The creation of this linked cohort has been previously described.<sup>42</sup> Mothers aged 12 to 55 years were required to have Medicaid coverage from at least 3 months prior to pregnancy to 1 month after delivery; infants were required to have Medicaid coverage from birth until 3 months after birth, unless they died before that. The US source cohort included 2074159 pregnancies. For the US cohort, race and ethnicity was determined on the basis of information submitted to the Centers for Medicare & Medicaid Services by individual states, which was based on information that had been collected and coded from Medicaid applications. Pregnancies with a fetal chromosomal abnormality (10 487 in the Nordic cohort and 3668 in the US cohort) or with exposure to a known teratogenic medication (14244 in the Nordic cohort and 11867 in the US cohort) were excluded (Figure 1).

#### Antipsychotic Exposure

Exposure to atypical and typical antipsychotics was defined based on filling 1 or more prescriptions of the respective drug class during the first trimester, the period for organogenesis. Individual antipsychotics were considered if they were available in at least 4 Nordic countries during the study period (Figure 1). Women were considered unexposed if they did not fill any antipsychotic prescriptions during the 3 months prior to pregnancy until the end of the first trimester (eTable 1 in the Supplement).

### **Congenital Malformations**

Aside from major congenital malformations overall, we considered specific malformation subtypes that had previously been described as potentially associated with prenatal antipsychotic exposure: cardiovascular malformations, <sup>11,14,16,17,20,22,25,28,38</sup> oral

Figure 1. Cohort Creation and Antipsychotic Drug Exposure During the First Trimester of Pregnancies in the Nordic Databases and the US Medicaid Analytic eXtract

	All cohorts	Denmark	Finland	Iceland	Norway	Sweden	US
Live births	6692604	1299853	1212804	65106	884330	1156352	2074159
	Ļ	4	4	4	4	4	
Restricted to singleton births <sup>a</sup>	4465289	1246857	1176839	62985	854846	1123762	
	4	•		4		•	•
Restricted to no chromosomal anomalies	6525767	1244138	1173646	62896	853329	1121267	2070491
	Ļ	4	4	4	4	4	4
Restricted to no known teratogenic exposure	6501739	1241768	1169193	62728	851468	1117958	2058624
	Ļ	4	4	4	4	4	4
Unexposed pregnancies	6455324	1237787	1163887	62186	846801	1114731	2029932
Exposure to any atypical antipsychotics	21751	1310	2623	204	1127	1126	15361
Quetiapine	11065	757	1975	151	742	508	6932
Aripiprazole	4523	123	128	21	116	166	3969
Olanzapine	3110	290	337	21	231	347	1884
Risperidone	2798	159	185	16	43	96	2299
Ziprasidone	NA	39	6	NA	21	29	1048
Clozapine	156	10	94	0	9	18	25
Sertindole	7	0	7	0	0	0	0
Exposure to any typical antipsychotics	6371	1132	916	103	2083	1184	953
Chlorpromazine	1348	33	106	11	916	0	282
Dixyrazine	1086	0	23	0	232	831	0
Perphenazine	1054	272	390	38	180	30	144
Levomepromazine	834	126	103	26	446	133	0
Chlorprothixene	832	404	150	14	247	17	0
Haloperidol	752	22	108	0	26	72	524
Flupentixol	384	154	68	18	71	73	0
Zuclopenthixol	244	161	36	0	10	37	0
Pimozide	NA	19	0	0	6	0	NA

<sup>a</sup> Restriction to singleton births was only applied within the Nordic cohorts. In the US data, multiples remained in the study cohort, with multiple gestation being included as a covariate in the propensity score. NA indicates not

available (numbers suppressed due to country-/data-specific suppression policy).

clefts,<sup>11,14,15,18,38</sup> neural tube defects,<sup>15</sup> hip dysplasia,<sup>14,30</sup> limb reduction defects,<sup>33</sup> anorectal atresia/stenosis,<sup>11,18,30</sup> gastroschisis,<sup>11,14</sup> hydrocephalus,<sup>15,24</sup> other specific brain anomalies,<sup>24,38</sup> and esophageal disorders<sup>18,38</sup> (eTables 2-3 in the Supplement).

#### Covariates

A broad range of (proxies for) potential confounders was considered, including demographic factors, treatment indications and other mental disorders, other maternal/obstetrical conditions, lifestyle behaviors, other prescription medication exposures, and health care utilization metrics as potential markers of the overall comorbidity burden or severity of mental illness (eTable 4 in the Supplement).

#### Analyses

Baseline characteristics were compared between women treated with the antipsychotic drug/class of interest and those without any antipsychotic exposure, using standardized differences (for calculation, see footnote in **Figure 2**). An absolute standardized difference greater than 0.1 was considered evidence of imbalance.<sup>43</sup> Distribution of characteristics and balance were assessed separately for all Nordic countries combined and the US.

Analyses were conducted separately for each country, with results being pooled as described here. For each exposureoutcome combination, we calculated absolute risks and unadjusted relative risks (RRs) with their 95% CIs. The pooled risks and unadjusted RRs were estimated by summing the number of outcomes and the number of pregnancies across countries for each exposure (for detailed information on the RR calculation, see eAppendix 2 in the Supplement).

A propensity score approach was used to control for potential confounders.44 A separate propensity score was estimated for each exposure and country using a logistic regression model (eTable 5 in the Supplement). Observations from the nonoverlapping regions of the propensity score distribution were trimmed, and equally sized propensity score strata based on the distribution among the exposed were created, requiring a minimum of 3 exposed pregnancies per stratum and 50 strata or fewer. Unexposed pregnancies were weighted using the distribution of the exposed pregnancies among propensity score strata and country-specific adjusted RRs (aRR) were estimated using a log-binomial model with a weight statement. This propensity score fine stratification with weighting approach has been shown to be particularly helpful when the exposure is infrequent.<sup>45</sup> Results were pooled across propensity score strata and country using an indirect standardization approach (eAppendix 2 in the Supplement).

Several sensitivity analyses were conducted to test the robustness of the main results. First, to evaluate the potential



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association of exposure misclassification with outcomes, we redefined exposure as having 2 or more dispensing of the drug/ class of interest during the first trimester, the assumption being that if a woman refilled her prescription, she was probably consuming the medication. Second, to mitigate potential residual confounding by mental disorders, we restricted our analysis to women with 1 or more recorded diagnoses for psychosis, bipolar disorder, depression, schizophrenia, anxiety, attention-deficit/hyperactivity disorder, or other psychiatric disorders (hereafter referred to as mental health conditions). Third, we restricted our analysis to women with exposure to only the drug/class of interest (monotherapy).

All analyses were conducted using SAS version 9.4 (SAS Institute). Precision around the estimates of risks and RRs is provided using 95% CIs. No adjustments were made for multiple comparisons. Interpretation of the results was based on the strength of the adjusted risk estimates, regardless of whether the 95% CI included the null, and the degree to which the upper limit of the 95% CI suggested low compatibility between the data and a strong adverse reaction. While focusing on specific drugs and malformation subtypes is critical to avoid missing signals due to the fallacy of class action teratogenesis<sup>46</sup> and lumping of etiologically unrelated defects,<sup>47</sup> it inevitably leads to numerous causal contrasts being evaluated (increasing the risk of chance findings) and sparse data challenges. We therefore present results from multiple exposure-outcome combinations in a way that conveys the levels of confidence in the findings. Data were analyzed from November 2020 to April 2022.

## Results

## **Cohort Characteristics**

This cohort included 6 455 324 unexposed pregnancies (mean maternal age range across countries, 24-31 years), 21 751 pregnancies with atypical antipsychotic exposure (mean age range, 26-31 years), and 6371 with typical antipsychotic exposure (mean age range, 27-32 years) (**Table**). The most commonly dispensed atypical antipsychotics were quetiapine (n = 11 065), aripiprazole (n = 4523), and olanzapine (n = 3110); the most common typical antipsychotics were chlorpromazine (n = 1348), dixyrazine (n = 1086, Nordic countries only), and perphenazine (n = 1054) (Figure 1).

Compared with unexposed individuals, women with antipsychotic exposure were more likely to be White (race and ethnicity available for US cohort only and also included Black and Hispanic individuals and other/unknown race and ethnicity), to have a higher burden of comorbidities, to be dispensed other medications, and to have more unhealthy lifestyle behaviors (eg, tobacco, alcohol, or other substance use) (Table, Figure 2, eTable 6 in the Supplement). These imbalances of baseline characteristics between exposed and unexposed groups were generally observed across cohorts and for each individual antipsychotic agent. After propensity score weighting, characteristics were largely balanced between exposed and unexposed groups (Figure 2 and eTable 7 in the Supplement). Most women were exposed to only 1 type of antipsychotic drug during the first trimester (eTable 8 in the Supplement). The risk of having an infant diagnosed with any major congenital malformation was 2.7% (2.7%-2.8%) (pooled absolute risk) among all unexposed women and 3.7% (95% CI, 3.6%-3.7%) when restricted to unexposed women with a mental health condition. The risk of any major congenital malformation was 4.3% (95% CI, 4.1%-4.6%) among atypical antipsychotic exposed and 3.1% (95% CI, 2.7%-3.5%) among typical antipsychotic exposed, with risks ranging from 1.8% (95% CI, 1.1%-2.5%) to 5.5% (95% CI, 3.8%-7.1%), depending on the specific antipsychotic drug (**Figure 3**).

#### **RR of Malformations**

Figure 4 presents the pooled unadjusted RRs (Figure 4A) and adjusted RRs (Figure 4B) from the main analyses. Exposures (rows) and outcomes (columns) are sorted by prevalence in the cohorts. To facilitate interpretation, the figure is divided into 3 segments. The first segment represents exposure-outcome combinations with a substantial amount of information, represented by the area to the left of the blue dotted line (>100 exposed individuals, outcome prevalence ≥0.5 per 1000 unexposed infants). The second segment includes combinations with a limited amount of information, represented by the area to the right of the orange dashed line. The third segment includes combinations with a moderate amount of information and is visually represented by the area between both lines. Cells are shaded based on the upper limit of the 95% CI with darker shading corresponding with higher upper limits, indicating the data are compatible with a large increased risk.

For combinations with a substantial amount of information, unadjusted estimates ranged from 0.5 (95% CI, 0.3-0.8) to 2.1 (95% CI, 1.0-4.1). After adjustment, results shifted substantially toward the null for all combinations (range [95% CI]: 0.7 [0.2-2.1] to 1.2 [0.9-1.6]), the only exception being olanzapine and oral cleft, with an aRR of 2.1 (95% CI, 1.1-4.3) (Figure 4 and eTable 9 in the Supplement).

In contrast, combinations with a limited amount of information mostly consisted of either zeros due to no events among the exposed or very high unadjusted RRs (up to 17.5) and corresponding upper limits of the 95% CI (>10), all associated with very few exposed events. Adjustment did not attenuate these results and in most instances strengthened the associations (Figure 4 and eTable 9 in the Supplement).

For combinations supported by a moderate amount of information, adjusted risk estimates were generally, although not uniformly, compatible with a null finding, particularly for the more common outcomes (eg, any major congenital malformation, cardiovascular malformations). The largest elevations in the point estimates for risk were observed for those combinations closest to the lower triangle, indicating fewer data informed the estimates resulting in very wide CIs. For example, the aRR was 2.4 (95% CI, 0.3-17.4) for perphenazine and the risk of hydrocephaly was 2.2 (95% CI, 0.3-15.7) for ziprasidone and gastroschisis and 2.0 (95% CI, 0.7-6.2) for olanzapine and anorectal atresia/stenosis.

## Sensitivity Analyses

Risk estimates for olanzapine and oral clefts ranged from an aRR of 1.1 (95% CI, 0.4-2.8) when restricting to monotherapy

Table. Selected Cohort Characteristics of Pregnancies with Atypical Antipsychotic Exposure, Typical Antipsychotic Exposure, and No Antipsychotic Exposure During the First Trimester, Separately for Nordic Countries and the US Medicaid Analytic eXtract (Unadjusted)

	No. (%)									
	Nordic countries			US						
	Antipsychotic			Antipsychotic	Antipsychotic					
Characteristic	Atypical	Typical	Unexposed	Atypical	Typical	Unexposed				
Total	6390	5418	4 425 392	15 361	953	2 029 932				
Demographic factor										
Maternal age, y										
≤24	1303 (20.39)	801 (15.07) <sup>a</sup>	579025(13.08)	6912 (44.99)	366 (38.41)	1 146 914 (56.50)				
25-34	3450 (53.99)	3118 (58.66) <sup>a</sup>	2 870 762 (64.87)	4210 (27.41)	288 (30.22)	498 404 (24.55)				
≥35	1624 (25.41)	1389 (26.13) <sup>a</sup>	971682 (21.96)	1578 (10.28)	120 (12.59)	134 268 (6.61)				
Race and ethnicity <sup>b,c</sup>										
Black	NA	NA	NA	3450 (22.46)	373 (39.14)	673 523 (33.18)				
Hispanic	NA	NA	NA	799 (5.20)	47 (4.93)	293 282 (14.45)				
White	NA	NA	NA	9643 (62.78)	439 (46.07)	820 321 (40.41)				
Other/unknown	NA	NA	NA	1469 (9.56)	94 (9.86)	242 806 (11.96)				
Mother born outside of Nordic countries <sup>d</sup>	787 (12.32)	1181 (21.80)	675 999 (15.28)	NA	NA	NA				
Mental/neurologic conditions										
Bipolar disorder	878 (13.74)	136 (2.51)	4025 (0.09)	6313 (41.10)	313 (32.84)	24 636 (1.21)				
Psychosis <sup>a</sup>	314 (5.08)	150 (2.77)	735 (0.02)	807 (5.25)	135 (14.17)	3131 (0.15)				
Depression	3648 (57.09)	1756 (32.41)	136 955 (3.09)	6894 (44.88)	328 (34.42)	119 256 (5.87)				
Schizophrenia <sup>a</sup>	606 (9.80)	371 (6.98)	879 (0.02)	1085 (7.06)	191 (20.04)	1673 (0.08)				
Anxiety	1133 (17.73)	416 (7.68)	36 374 (0.82)	4461 (29.04)	221 (23.19)	76 108 (3.75)				
ADHD	287 (4.49)	83 (1.84)	7802 (0.18)	1063 (6.92)	25 (2.62)	13 217 (0.65)				
Other psychiatric disorders	4283 (67.03)	1761 (32.50)	113 749 (2.57)	1509 (9.82)	85 (8.92)	22 849 (1.13)				
Other maternal/obstetrical conditions										
Epilepsy/convulsions	68 (1.06)	34 (0.77)	9241 (0.21)	457 (2.98)	31 (3.25)	12 263 (0.60)				
Migraine/headache	188 (3.04)	186 (3.50)	54884 (1.24)	2503 (16.29)	162 (17.00)	149 058 (7.34)				
Sleep disorder	1362 (21.31)	793 (14.64)	42 988 (0.97)	762 (4.96)	41 (4.30)	15 028 (0.74)				
Pregestational										
Hypertension	45 (0.73)	17 (0.83)	14 147 (0.32)	861 (5.61)	69 (7.24)	48 660 (2.40)				
Diabetes	128 (2.00)	69 (1.30)	41 204 (0.93)	538 (3.50)	60 (6.30)	33 853 (1.67)				
Multiple gestation <sup>c</sup>	NA	NA	NA	266 (1.73)	12 (1.26)	27 852 (1.37)				
Lifestyle behavior										
Tobacco use	2283 (35.73)	1342 (24.77)	484 673 (10.95)	1991 (12.96)	126 (13.22)	83 069 (4.09)				
Alcohol dependence/use disorder	291 (4.55)	141 (2.60)	7260 (0.16)	862 (5.61)	77 (8.08)	13 633 (0.67)				
Other substance use disorder	518 (8.11)	227 (4.19)	9870 (0.22)	2405 (15.66)	146 (15.32)	38 885 (1.92)				
Other prescription medication exposure										
Anticonvulsants	1049 (16.42)	372 (6.87)	18 248 (0.41)	3888 (25.31)	178 (18.68)	33 128 (1.63)				
Antidepressants	3648 (57.09)	1756 (32.41)	136 955 (3.09)	11 007 (71.66)	525 (55.09)	168 442 (8.30)				
Antidiabetics	128 (2.00)	69 (1.30)	41 204 (0.93)	470 (3.06)	46 (4.83)	28 968 (1.43)				
Antihypertensives	342 (5.35)	183 (3.44)	44 174 (1.00)	1682 (10.95)	87 (9.13)	58 951 (2.90)				
Prescription opioids	667 (10.44)	558 (10.30)	100 056 (2.26)	6872 (44.74)	374 (39.24)	456 709 (22.50)				
Stimulants	272 (4.26)	73 (1.62)	7484 (0.17)	1215 (7.91)	29 (3.04)	11 697 (0.58)				
Triptans	188 (3.04)	186 (3.50)	54884 (1.24)	600 (3.91)	28 (2.94)	21 502 (1.06)				
Other psychoactive drugs <sup>e</sup>	2112 (33.05)	1266 (23.37)	74 380 (1.68)	7290 (47.46)	415 (43.55)	145 508 (7.17)				

Abbreviations: ADHD, attention-deficit/hyperactivity disorder; NA, not available.

<sup>a</sup> Informaton for Iceland was not included due to small cell size suppression policy.

Pacific Islander, Native Hawaiian or Other Pacific Islander, Hispanic or Latino and 1 or more races, more than 1 race, and unknown. The category Hispanic includes Hispanic or Latino with no race information available, whereas other/unknown includes Hispanic or Latino with 1 or more races.

<sup>b</sup> Race and ethnicity were determined on the basis of information submitted to the Centers for Medicare & Medicaid Services by individual states, which was based on information that had been collected and coded from Medicaid applications. Race and ethnicity category other/unknown includes the following races and ethnicities: American Indian or Alaska Native, Asian or <sup>c</sup> Available for US cohort only.

<sup>d</sup> Available for Nordic cohorts only.

 $^{\rm e}$  Other psychoactive drugs include benzodia zepines, barbiturates, anxiolytics, and other hypnotics.

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Exposure	No. of individuals	Total No.	Prevalence of major congenital malformations, % (95% CI)	r				
Any atypical antipsychotic	941	21751	4.33 (4.06-4.60)					
Quetiapine	469	11065	4.24 (3.86-4.61)					
Aripiprazole	188	4523	4.16 (3.57-4.74)					
Olanzapine	137	3110	4.41 (3.68-5.13)				-	
Risperidone	134	2798	4.79 (4.00-5.58)					
Any typical antipsychotic	197	6371	3.09 (2.67-3.52)		-	-		
Chlorpromazine	24	1348	1.78 (1.07-2.49)	-				
Dixyrazine	21	1086	1.93 (1.11-2.75)	-				
Perphenazine	42	1054	3.98 (2.80-5.17)		-	-	_	
Levomepromazine	22	834	2.64 (1.55-3.73)					
Chlorprothixene	36	832	4.33 (2.94-5.71)					
Haloperidol	41	752	5.45 (3.83-7.07)				-	
Flupentixol	11	384	2.86 (1.20-4.53)					
Unexposed all	177165	6455324	2.74 (2.73-2.76)			I I		
Unexposed with mental	11647	318731	3.65 (3.59-3.72)					
neatth condition			(	Ó	2	4	6	8
				I	revalenc' malforr	ce of major mations, %	congenital (95% CI)	

Figure 3. Pooled Absolute Risk With 95% Cls of Major Malformations Overall Among Live-born Infants With and Without Antipsychotic Exposure in Utero

Prevalence of major congenital malformations among ziprasidone-, zuclopenthixol-, and clozapine-exposed pregnancies is not presented because the number of cases had to be suppressed due to country-/data-specific small cell suppression policies. Prevalence of major congenital malformations among pimozide- and sertindole-exposed pregnancies was zero and is therefore not shown.

to 2.6 (95% CI, 1.2-5.4) when requiring a diagnosis of a mental health condition for both the exposed and the reference group (eTable 9 in the Supplement).

Sensitivity analyses for combinations in the lower triangle are provided for transparency but are not considered informative because they are based on such limited information and are therefore not interpreted (eTable 9 in the Supplement).

Finally, among combinations supported by a moderate amount of information, a few potential safety signals emerged for which the direction of the association was consistent across sensitivity analyses. We focus our interpretation on those informed by at least 10 exposed events. The aRRs ranged from 1.3 to 2.1 (with corresponding upper limits of the 95% CI from 2.6 to 4.0) for atypical antipsychotics and the risk of gastroschisis, from 1.9 to 3.9 (upper limit of the 95% CI, 3.0 to 6.4) for atypical antipsychotics and other specific brain anomalies, and from 1.5 to 2.1 (upper limit of the 95% CI, 2.6 to 8.5) for chlorprothixene and cardiac malformations across main and sensitivity analyses (eTable 9 in the Supplement). The associations with atypical antipsychotics appeared to be associated with quetiapine (eTable 9 in the Supplement).

## Discussion

Based on this large cohort study including more than 26 000 exposed pregnancies from 6 countries, using a common design and analytic approach, we found no consistent safety signals for the contrasts supported by a substantial amount of information, suggesting that antipsychotics are not major teratogens. For exposure-outcome combinations where less information was available, we observed some elevated point estimates, but these associations were imprecisely estimated as reflected by the width of the CIs. In an earlier study using the US Medicaid cohort with data from 2000-2010, we reported a small increase in the risk of major congenital malformations overall (aRR, 1.26; 95% CI, 1.02-1.56) observed with risperidone (1566 exposed pregnancies), which was associated with cardiovascular malformations (RR, 1.26; 95% CI, 0.88-1.81).<sup>20</sup> We viewed this finding as a potential safety signal that required follow-up in other studies because no apparent biological mechanism could readily explain this outcome.<sup>48</sup> The signal was not replicated in the present study, which used a similar approach, and included an additional 1232 risperidone-exposed pregnancies for a total of 2798. These findings highlight the importance of confirmatory studies and of continued monitoring of the safety of medications during pregnancy as evidence accumulates over time.

The signals that emerged from this study should be viewed through that same lens. Among those exposure-outcome combinations with a substantial amount of information, we observed a potential association between olanzapine and oral clefts (2.1; 95% CI, 1.1-4.3). No prior study included a sufficiently large number of olanzapine-exposed pregnancies to be able to evaluate the risk of oral clefts with some confidence given the expected prevalence of about 1 in 690 births.<sup>49</sup> Using data from the Finnish registers, Ellfolk and colleagues<sup>21</sup> reported an RR of 1.3 (95% CI, 0.1-21.6), which was imprecisely estimated based on 413 olanzapine-exposed pregnancies only. The mean placental passage ratio for olanzapine has been reported to be slightly higher than for other antipsychotics, but it is highly variable between patients.<sup>7</sup> While the inconsistency of the safety signal across sensitivity analyses supports a noncausal explanation, it will be helpful to reevaluate this association in future studies.

Previous reports on the potential associations between atypical antipsychotics and gastroschisis and brain anomalies were based on small case series,<sup>11,14,15,24,38</sup> and to our knowledge, no study has previously reported a potential as-

Figure 4. Pooled Unadjusted Relative Risk and Propensity Score—Weighted Standardized Morbidity Ratio (SMR), Separately for Each Exposure-Outcome Contrast, Sorted by Prevalence of Exposure and Outcome in the Nordic and US Cohorts

## A Unadjusted RR

Upper limit 95% CI >10 Upper limit 95% CI >5 and ≤10	Upper limit 95% CI >2 and ≤5 Upper limit 95% CI ≤2	O Exposed events; SMR = SMR ≥2
		Less information

		Any major congenital malformation	Cardiovascular malformation	Oral cleft	Hip dysplasia	Hydrocephaly	Gastroschisis	Other specific brain anomaly	Anorectal atresia/stenosis	Neural tube defect	Limb reduction defect	Esophageal atresia
	Exposure											
	Any atypical antipsychotics	1.6	1.4	1.3	0.5	2.0	2.1		1.2	0.9	1.1	0.5
	Quetiapine	1.5	1.6	1.1	0.5	1.7	2.1	3.9	1.2	1.0	0.6	0.5
	Any typical antipsychotics	1.1	1.4	1.0	0.9	0.5	0	0	0.8	0.7	- And and a second	0.8
	Aripiprazole	1.5	1.3	1.1	0.5 🦯	1.1	2.5		0.6	0	2.3	1.1
	Olanzapine	1.6	1.5	2.1	0.4	2.5	0		2.6	0		0
	Risperidone	1.7	1.1	0.8	0.7	3.7			1.9		2.4	0
	Chlorpromazine	0.6	1.0	0 _	1.2	0	0	0	0	0		0
	Ziprasidone	0.6	1.0	1.8	0.4	0		4.6	0	0	0	0
	Dixyrazine	0.8	0.6	1.2	0.6	0	0	0	0	4.9		0
	Perphenazine	1.5	1.7	· 0	1.3		0	0		0		0
	Levomepromazine	1.3	1.4	0.8	0.5	0	0	0	0	0	3.5	5.3
	Chlorprothixene	1.8	2.3	1.6	م ر 1.2	0	0	0	0	0	0	0
	Haloperidol	2.0	1.7	2.8	0	0	0	0	3.6	0	4.5	0
	Flupentixol	1.2	0.8	0	0	0	0	0	0	0	0	0
	Zuclopenthixol	1.5	0.8	0	0	0	0	0	0	0	0	0
Í	Clozapine	2.4	مر مر	0	0	0	0	0	17.5	0	0	0
6	Pimozide	0	0	0	0	0	0	0	0	0	0	0
ĭ↓	Sertindole	0	0	0	0	0	0	0	0	0	0	0

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Less information

#### B Propensity score-weighted SMR

											Less	information
		Any major congenital malformation	Cardiovascular malformation	Oral cleft	Hip dysplasia	Hydrocephaly	Gastroschisis	Other specific brain anomaly	Anorectal atresia/stenosis	Neural tube defect	Limb reduction defect	Esophageal atresia
	Exposure											
	Any atypical antipsychotics	1.0	1.1	1.1	0.8	1.2	1.5	1.9	0.9	0.7	1.0	0.3
	Quetiapine	1.0	1.1	0.9	0.8	1.2	1.5	1.9	1.0	0.9	0.5	0.3
	Any typical antipsychotics	1.1	1.2	1.0	1.0	0.4	0	0	0.8	0.9	2.5	0.6
	Aripiprazole	1.0	1.1	0.9	0.9 🦯	0.6	1.2	1.7	0.4	0	2.8	0.8
	Olanzapine	1.2	1.2	2.1	0.6	1.5	0	1.1	2.0	0		0
	Risperidone	1.2	0.9	0.7	1.4		1.4	1.8	1.3		2.5	0
	Chlorpromazine	0.8	1.1	0	1.3	0	0	0	0	0		0
	Ziprasidone	1.1	0.8	1.9	0.7	0		1.7	0	0	0	0
	Dixyrazine	1.0	0.8	1.6	1.1	0	0	0	0			0
	Perphenazine	1.2	1.2	0	1.5		0	0		0		0
	Levomepromazine	1.1	1.3	0.8	0.6	0	0	0	0	0		
	Chlorprothixene	1.3	1.6	1.6	1.4	0	0	0	0	0	0	0
	Haloperidol	1.3	1.2	1.9	0	0	0	0		0		0
	Flupentixol	1.0	0.6	0	0	0	0	0	0	0	0	0
	Zuclopenthixol	1.1	0.4	0	0	0	0	0	0	0	0	0
	Clozapine	0.9	0	0	0	0	0	0		0	0	0
	Pimozide	0	0	0	0	0	0	0	0	0	0	0
↓	Sertindole	0	0	0	0	0	0	0	0	0	0	0

Rows are sorted by the most common to least common antipsychotic exposures and columns are sorted by the most common to least common outcomes among the unexposed. The outcome order was determined based on the absolute risk among the unexposed as observed in the US cohort (absolute risk for Nordic cohorts was not available for some outcomes due to country-specific cell suppression policies). Drugs in red belong to the atypical antipsychotic class and drugs in black to the typical antipsychotic class. The blue dotted line connects antipsychotic drugs with  $\geq$ 100 exposed individuals and malformations with a prevalence of  $\geq$ 0.5 per 1000 infants among the unexposed (as observed in the US cohort). The orange dashed line connects the least frequent antipsychotic with the least frequent malformation. Cells containing zeros are those with no outcomes of interest among the exposed.

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sociation between chlorprothixene and cardiac malformations. While our findings were estimated imprecisely, the point estimates were consistently elevated across sensitivity analyses, indicating that continued monitoring of these associations would be prudent.

#### **Strengths and Limitations**

The strengths of this study include its large size, enabling us to assess the risk of specific malformations associated with individual antipsychotics, independent ascertainment of exposure and outcome, rich information for confounding adjustment, and no risk of recall bias. While analyses were conducted locally in a distributed data network approach, the harmonized study design and analyses governed by a common protocol resulted in comparable country-specific estimates that could be pooled in a meaningful way.

Nevertheless, we faced some challenges that deserve further comment. To inform clinical practice and avoid missing potential signals, it is important to consider the etiologic heterogeneity of congenital anomalies and focus on individual drugs and specific malformations. This resulted in 198 comparisons (18 exposure groups × 11 outcomes) for the main analyses alone. Each of the 3 sensitivity analyses contributed up to an additional 198 estimates. While we do not advocate the use of P < .05(or a confidence interval that does not encompass the null value) to interpret findings, <sup>50,51</sup> it is important to recognize that for the main analyses, we would expect 10 of the associations to meet this conventional significance threshold by chance alone even if in truth none of the antipsychotics were associated with an increased risk of congenital malformations. To avoid an inadvertent focus on significance testing, which can lead to erroneous conclusions, we centered the summary presentation of results on the point estimate (which is the estimate most consistent with the data) and the upper limit of the 95% CI to indicate compatibility between the data and a strong adverse reaction.

Second, whenever evaluating uncommon drugs and rare outcomes, the first observed event among the exposed group will per definition result in a large RR. This is apparent in Figure 4 where the lower right triangle consists of either combinations with no events among the exposed group or with high RRs driven by just 1 or at most a few events. This challenge is not unique to this study. For example, exposure pregnancy registries, which are typically characterized by small numbers, also face this issue. In this context, the rule of 3 has been used before: follow-up of a signal occurs once 3 specific defects are reported for a specific exposure. Rather than using a threshold based on the number of events observed, we opted instead to account for the amount of information that contributed to the finding by organizing results by the number of exposed pregnancies and the number of outcomes in both the presentation and interpretation of the results.

Finally, to protect patient confidentiality, several of the data holders impose disclosure limitations to avoid the release of information that can be used to identify individuals. Consequently, we were not able to share the absolute number of events if numbers were less than 5 in the Nordic countries or less than 11 in the US. Such restrictions complicate the sharing of results especially when the focus is on uncommon exposures and rare outcomes.

## Conclusions

In conclusion, robust data on embryo fetal risk associated with antipsychotic medication use in pregnancy are needed to inform prescribing decisions and counsel patients about treatment during pregnancy. To be most clinically useful, such data must focus on individual drugs and specific teratogenic effects. While epidemiologic studies provide the only means of obtaining reliable quantitative estimates regarding the risk of congenital anomalies in an exposed pregnancy, spurious associations can occur especially in the context of sparse data and a large number of comparisons. If we are to better communicate risk and research findings, it is imperative that we provide a comprehensive picture of the comparative safety of different treatment alternatives and transparently convey our level of confidence in the findings. We presented one possible way of doing so, allowing us to conclude that despite the inevitable noise in the data, taken as a whole, the findings offer assurance that antipsychotics are not major teratogens.

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